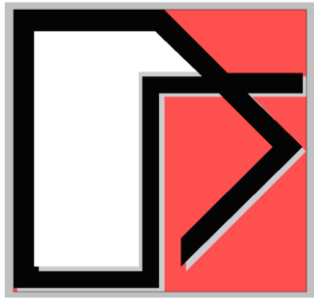


# SASSI Methodology-Based Sensitivity Studies for Deeply Embedded Structures, Such As Small Modular Reactors (SMRs)



Ghiocel Predictive Technologies Inc.

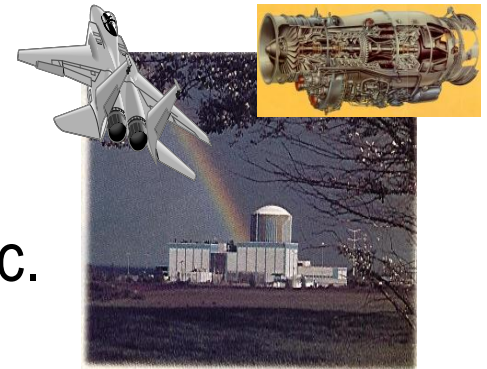
**Dr. Dan M. Ghiocel**

Email: [dan.ghiocel@ghiocel-tech.com](mailto:dan.ghiocel@ghiocel-tech.com)

Phone: 585-641-0379

Ghiocel Predictive Technologies Inc.

<http://www.ghiocel-tech.com>



**2014 U.S. Department of Energy Natural  
Phenomena Hazards Meeting**

**Germantown, MD, October 21-22, 2014**

# Purpose of This Presentation:

To disseminate results of some internal multiyear research projects done in GP Technologies for a better understanding of the behavior of deeply embedded nuclear structures such as SMRs in nonuniform soil layering.

To answer to the following key questions:

- How the SSI uncertainties affect the ISRS in SMRs?
- Is deterministic SSI analysis more or less conservative for SMR?
- How important is inertial SSI vs. kinematic SSI for SMRs?
- How accurate are the SASSI MSM or ESM methods for SMRs?
- How sensitive are SSI responses to the excavated soil mesh size variation and mesh nonuniformity?
- Are HO Rayleigh wave mode effects significant for nonuniform soils?
- How important are SSSI effects due to Annex Bldgs.?
- How important is the influence of ground water level on SMR response?

*The ACS SASSI Version 3.0 including new Option PRO was used.*

# SMR Probabilistic-Deterministic SSI Case Studies

## SEISMIC INPUT:

We considered a typical UHSRS shape input corresponding to the baserock ( $V_s=9200$  fps) at the 500ft depth. Assume deterministic and probabilistic UHSRS shape at the 500 ft depth.

## SOIL LAYERING:

Probabilistic SSI: We considered the 60 randomized soil profiles. The  $V_s$  and Damping for each soil profile were considered as dependent random variables with lognormal distribution.

Damping variable is considered statistically dependent (varying inversely than  $V_s$ ) as recommended by ASCE 04-2013.  $V_s$  c.o.v. was 0.20 and Damping c.o.v. was 0.35. The  $V_s$  profiles were assumed to have a spatial correlation corresponding to a 20 ft correlation length

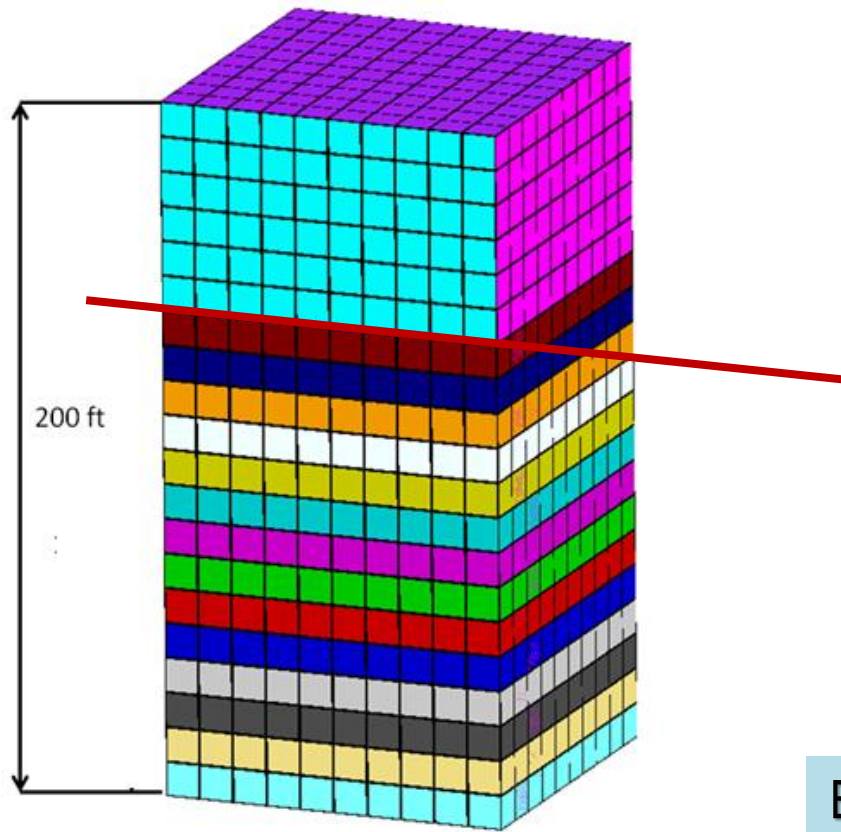
Deterministic SSI: The deterministic LB, BE and UB soil profiles were computed as the 16%, 50% and 84% NEP for the  $V_s$  and Damping profiles.

## SSI ANALYSIS:

Probabilistic SSI: We considered the 60 simulated in-column soil motions at the foundation level for the embedded models, and simulated surface motions for the surface model.

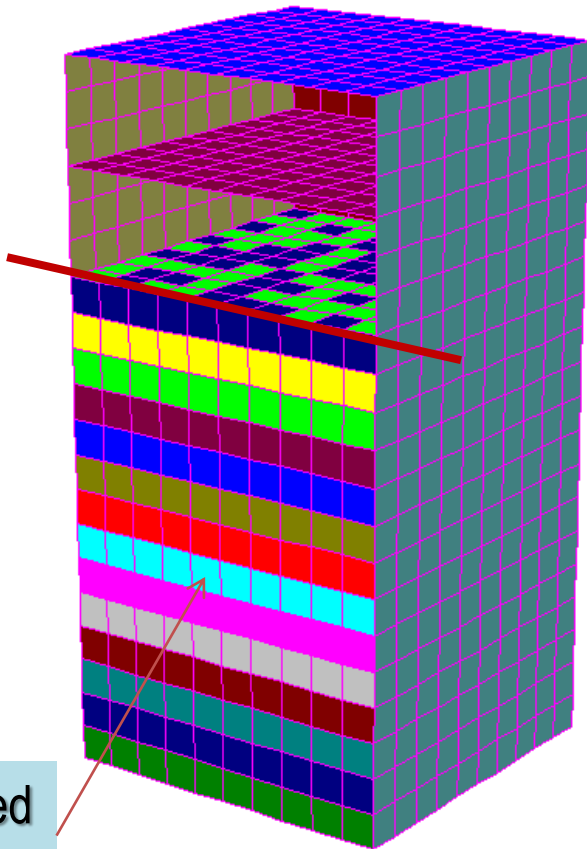
Deterministic SSI: We considered the outcrop probabilistic mean response spectra of the 60 simulations as the outcrop FIRS. Then, we performed 3 SHAKE type deterministic analyses for LB, BE and UB soil profiles to compute the in-column FIRS motions to be used for the deterministic SSI analysis.

# SMR Seismic Deeply Embedded SSI Model



**Generic SMR Structure**

SMR size: 100 ft x 100 ft X 200 ft  
Embedment: 140 ft  
Mesh size: 10 ft X 10 ft X 10 ft  
Number of Nodes: 2,580  
Interaction Nodes: 1,815

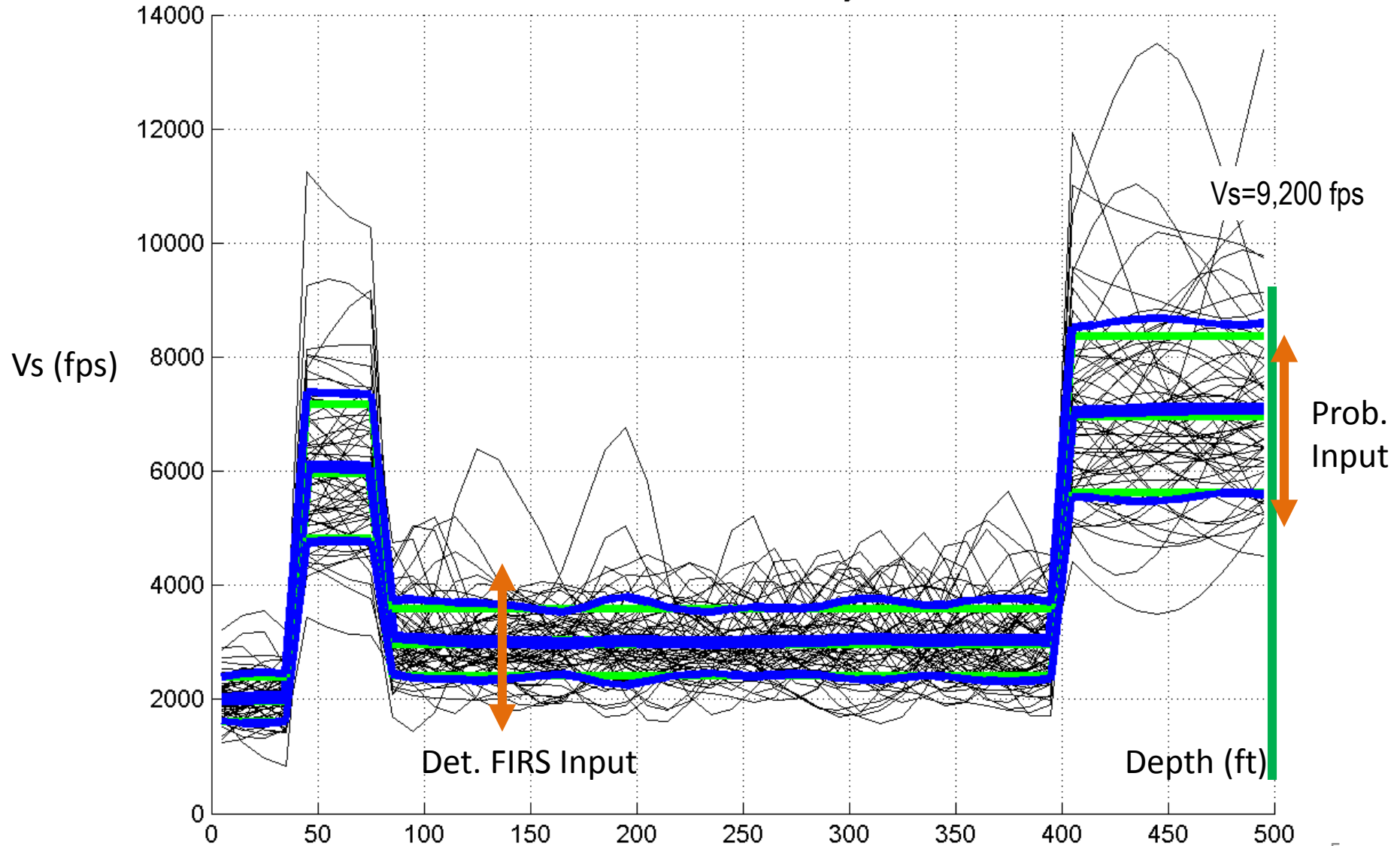


Excavated  
Soil

**140 ft Embedment  
SMR SSI Model  
(use FV method)**

# Probabilistic and Deterministic Soil Profiles

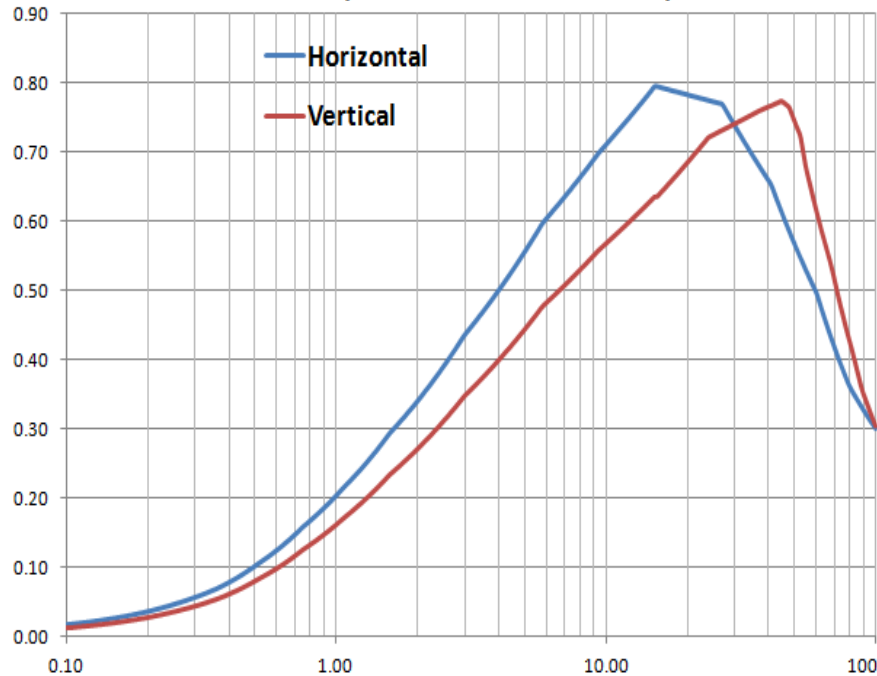
Probabilistic Soil Layers Simulation  
Including for 16% , 50% and 84%  
Non-exceedance Probability Excites



# UHSRS Seismic Input Defined at the Baserock (with $V_s = 9,200$ fps) Situated at 500 ft Depth

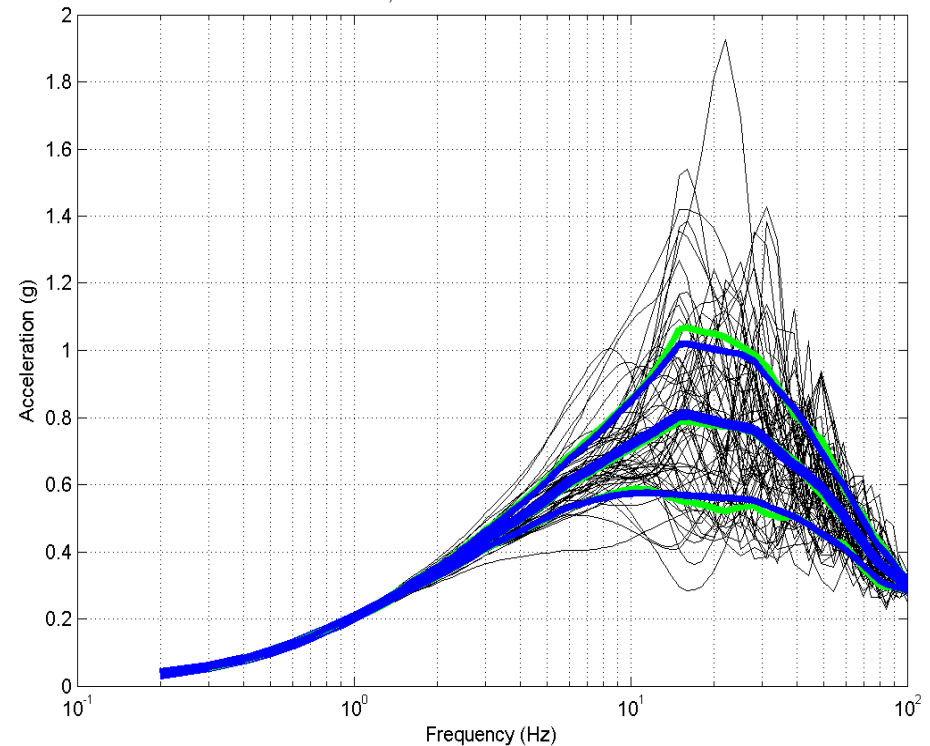
## Deterministic (Mean) Spectra

UHSRS Inputs defined at 500 ft Depth



## Probabilistic (Simulated) Spectra

Simulated GRS Shapes - CSDRS (HARD)  
Comparative Non-exceedance Probabilistic Curves  
for 16%, 50% and 84% -- HORIZONTAL





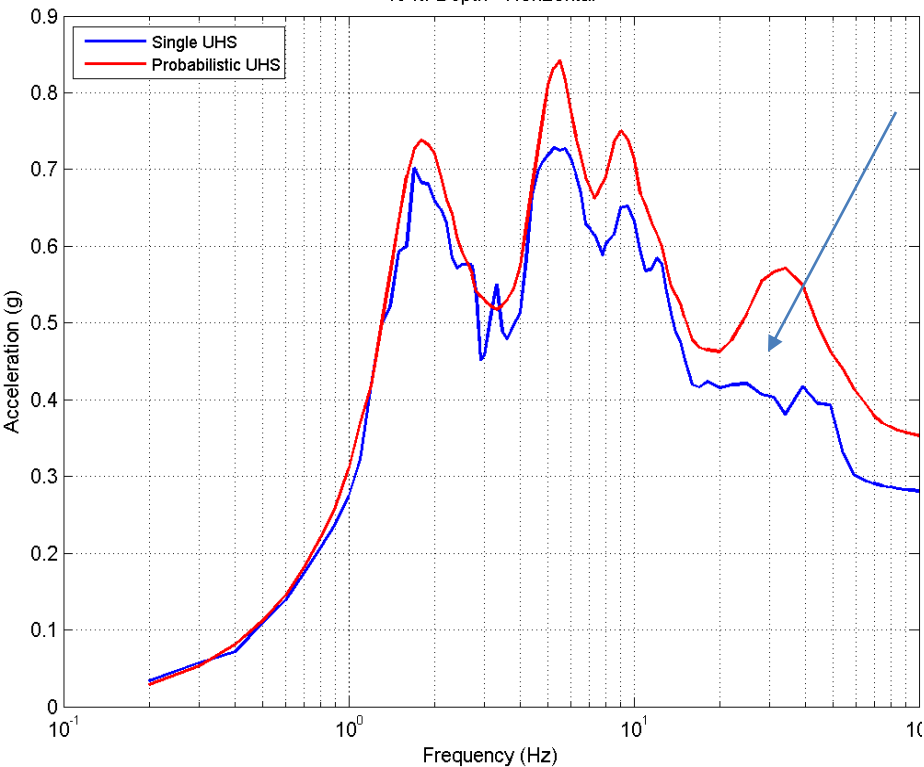
# In-Column Probabilistic Mean RS Computed for Deterministic and Probabilistic UHSRS Inputs

40 ft Depth

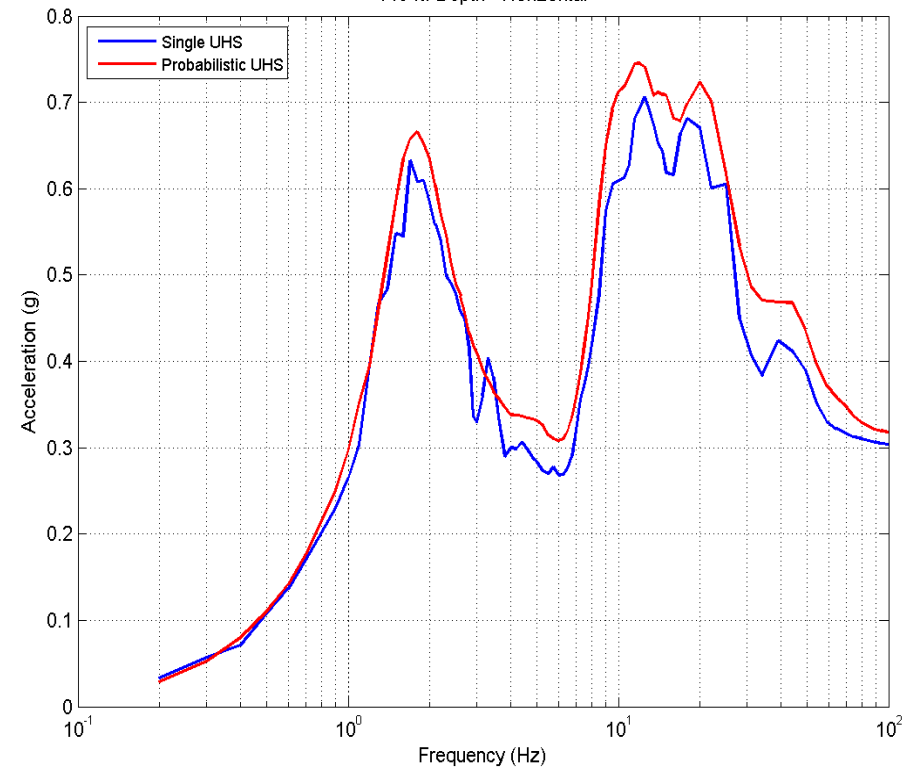
140 ft Depth



Incolumn Mean ARS - Nonuniform Soil (Site 2)  
40 ft. Depth - Horizontal

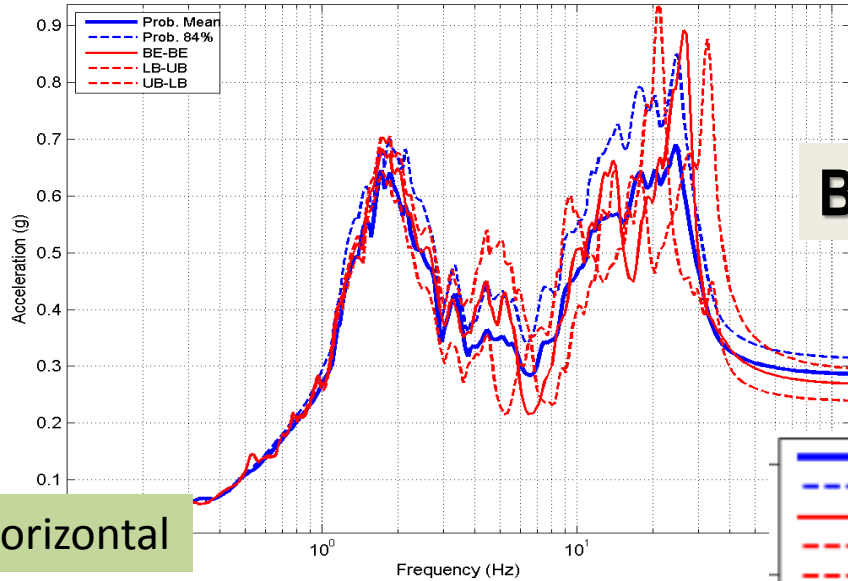


Incolumn Mean ARS - Nonuniform Soil (Site 2)  
140 ft. Depth - Horizontal



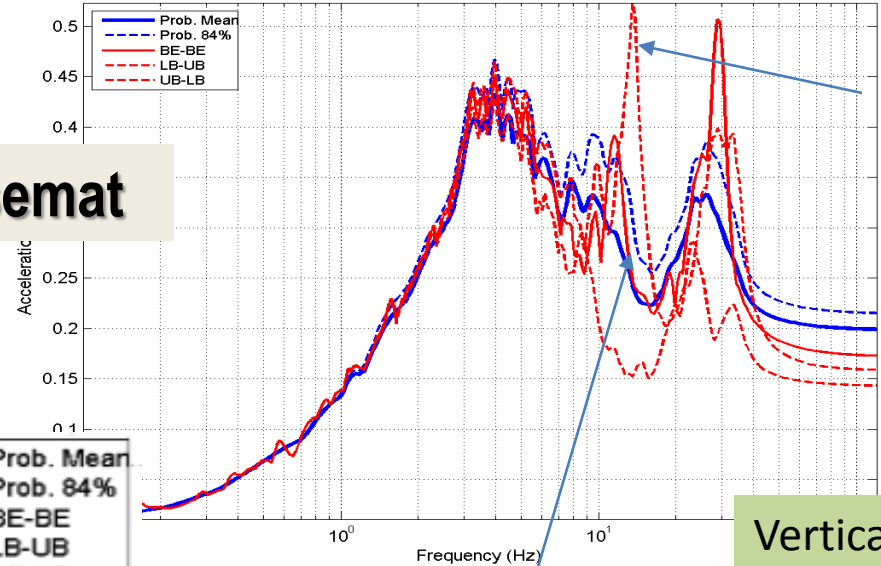
# Deterministic ISRS (for LB, BE, UB) vs. Probabilistic ISRS (for Mean and 84% NEP)

SMR 140ft. Embedded Foundation Model - Nonuniform Soil (Site2) - with Mass  
5% Damping ARS at Elevation 0 ft. - Horizontal



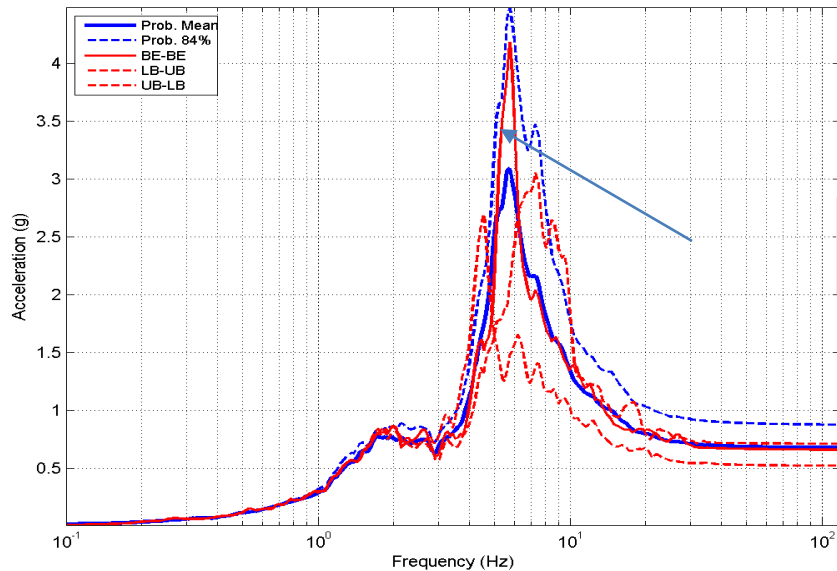
Basemat

SMR 140ft. Embedded Foundation Model - Nonuniform Soil (Site2) - with Mass  
5% Damping ARS at Elevation 0 ft. - Vertical



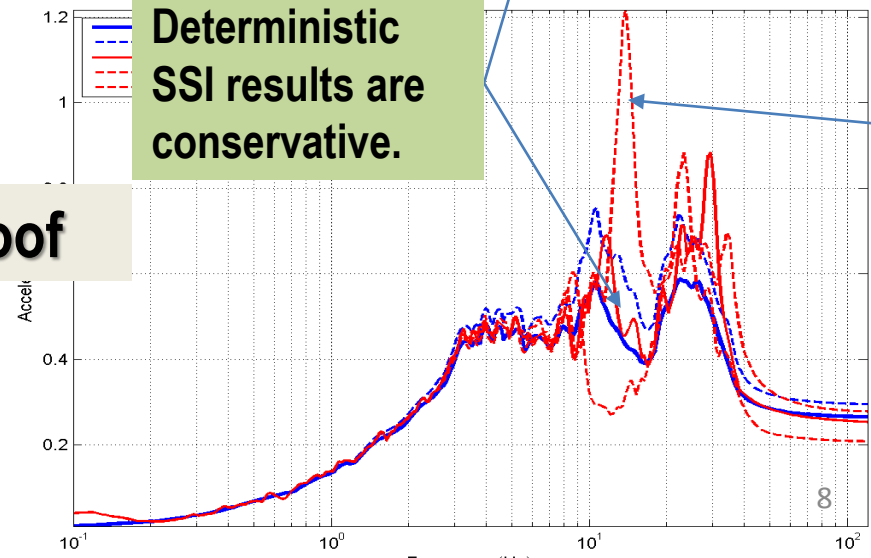
Vertical

SMR 140ft. Embedded Foundation Model - Nonuniform Soil (Site2) - with Mass  
5% Damping ARS at Elevation 200 ft. - Horizontal



Roof

SMR 140ft. Embedded Foundation Model - Nonuniform Soil (Site2) - with Mass  
5% Damping ARS at Elevation 200 ft. - Vertical

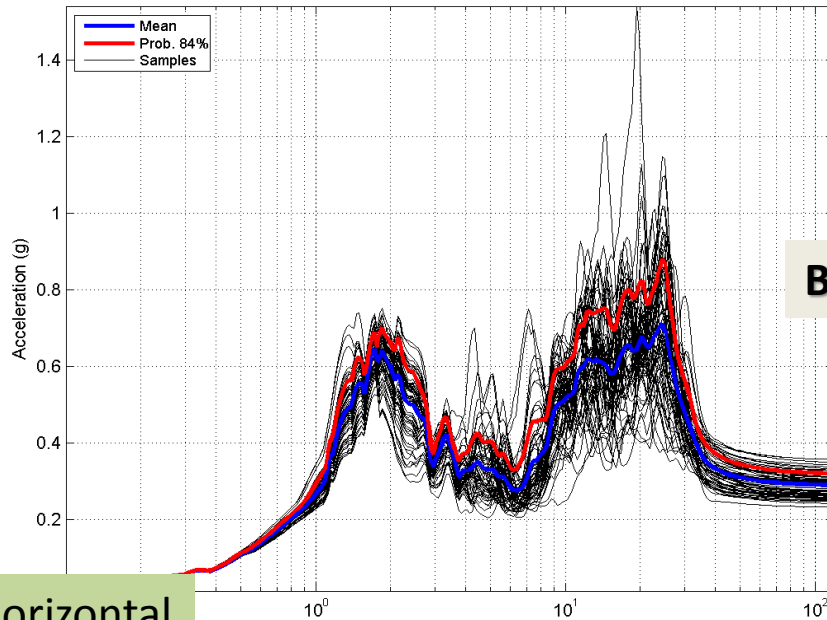


Deterministic  
SSI results are  
conservative.

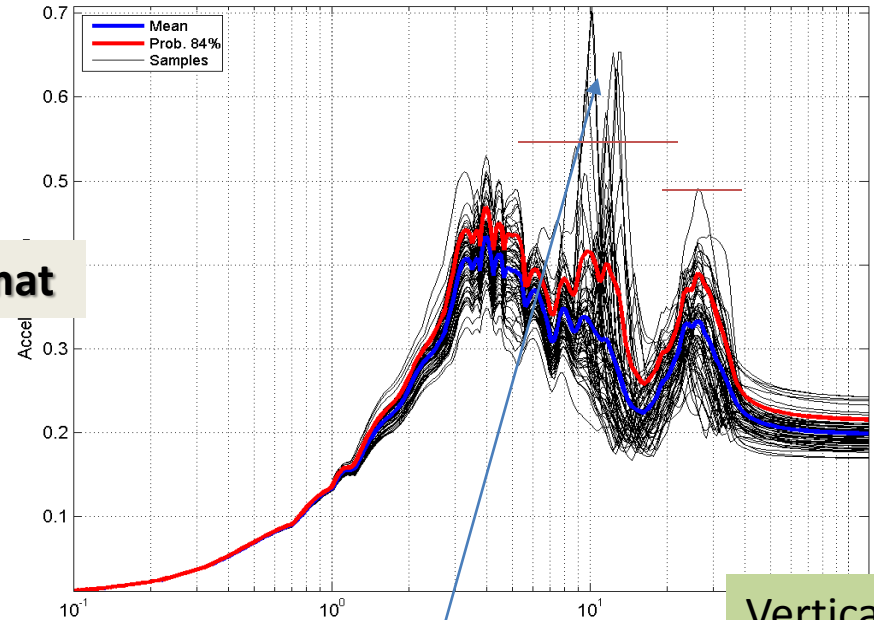


# Probabilistic ISRS – Simulated vs. Mean and 84% NEP

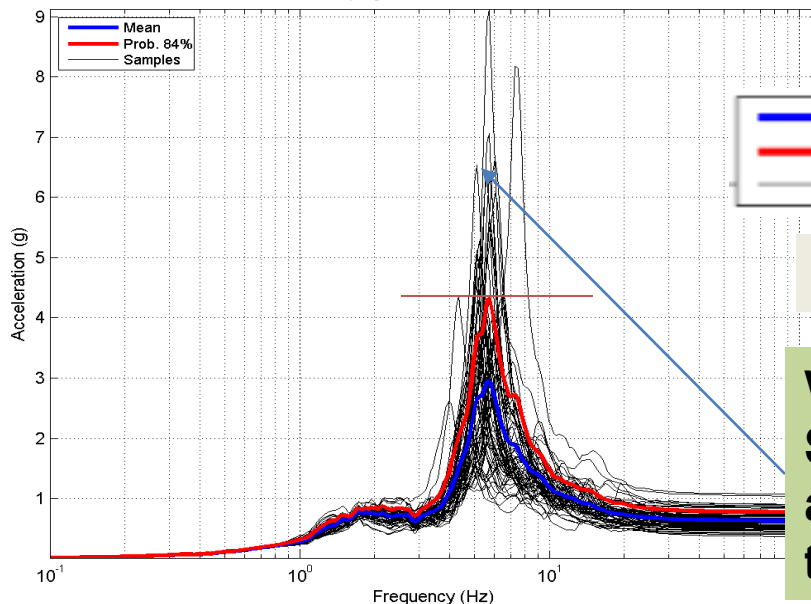
SMR 140ft. Embedded Foundation Model - Nonuniform Soil (Site2) - with Mass  
Coherent - 5% Damping ARS at Elevation 0 ft. - Horizontal



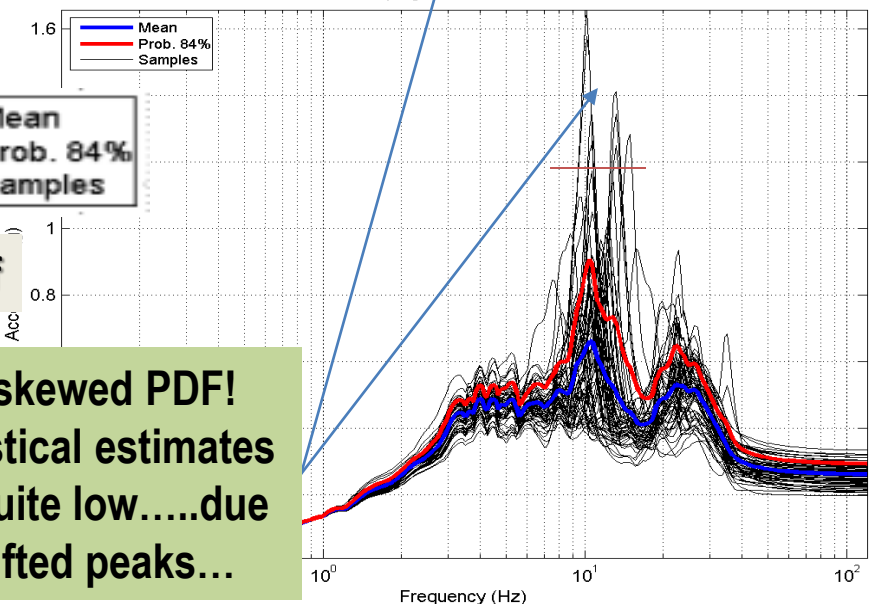
SMR 140ft. Embedded Foundation Model - Nonuniform Soil (Site2) - with Mass  
Coherent - 5% Damping ARS at Elevation 0 ft. - Vertical



SMR 140ft. Embedded Foundation Model - Nonuniform Soil (Site2) - with Mass  
Coherent - 5% Damping ARS at Elevation 200 ft. - Horizontal



SMR 140ft. Embedded Foundation Model - Nonuniform Soil (Site2) - with Mass  
Coherent - 5% Damping ARS at Elevation 200 ft. - Vertical

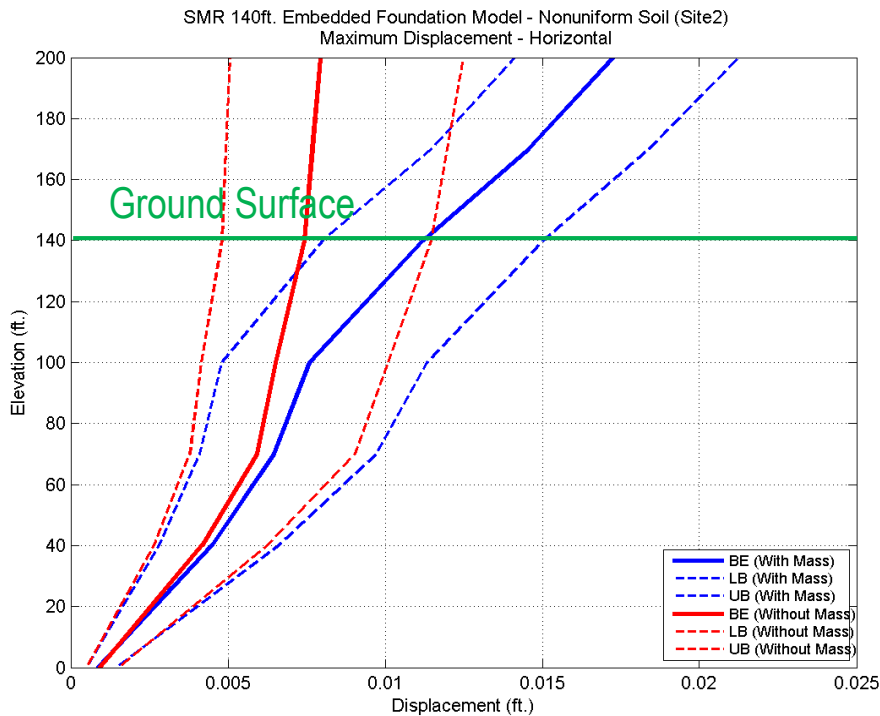


Very skewed PDF!  
Statistical estimates  
are quite low.....due  
to shifted peaks...

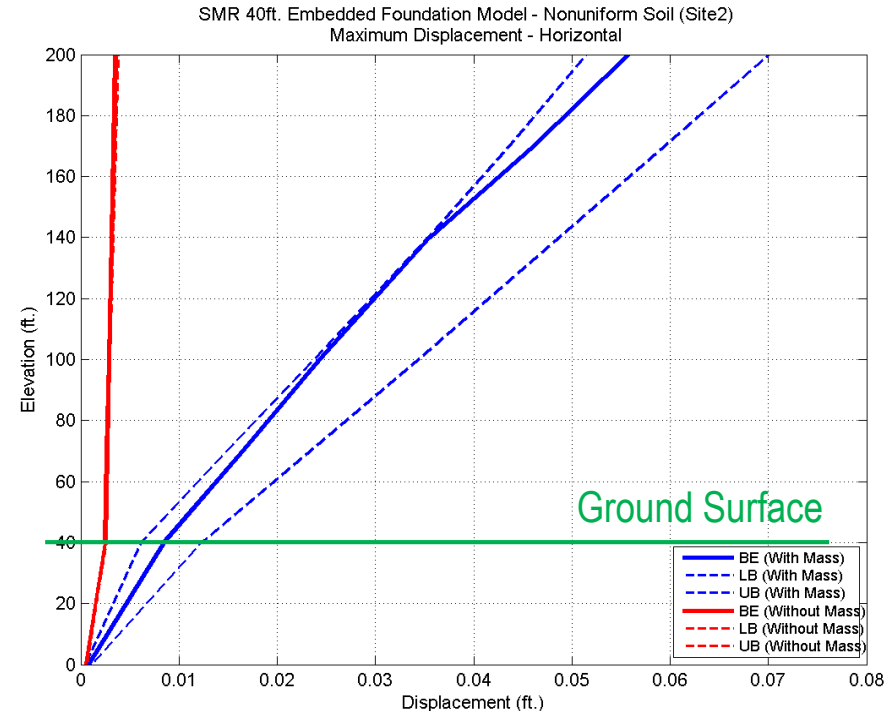
# Effects of Kinematic SSI for Embedded SMRs

## Relative Displacement wrt Basemat Center

### 140 ft Embedment



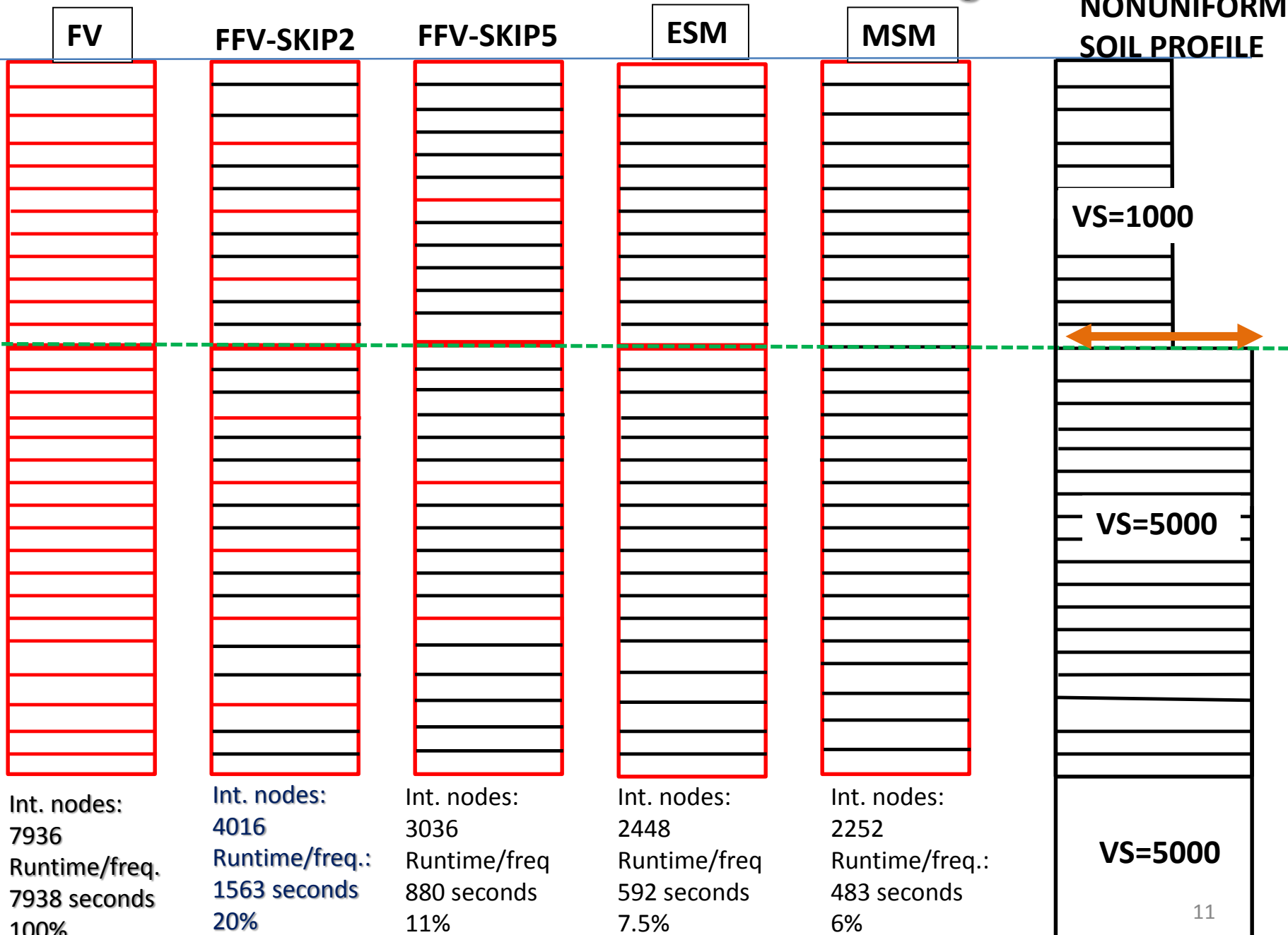
### 40 ft Embedment



For 140 ft embedment the kinematic SSI effects are dominant, 80-90%, up to the ground surface elevation at 140 ft.

For 40 ft embedment the kinematic SSI much less significant, 20-30%, below the the ground surface elevation at 40 ft.

# SMR Case Studies on FV Substructuring Methods

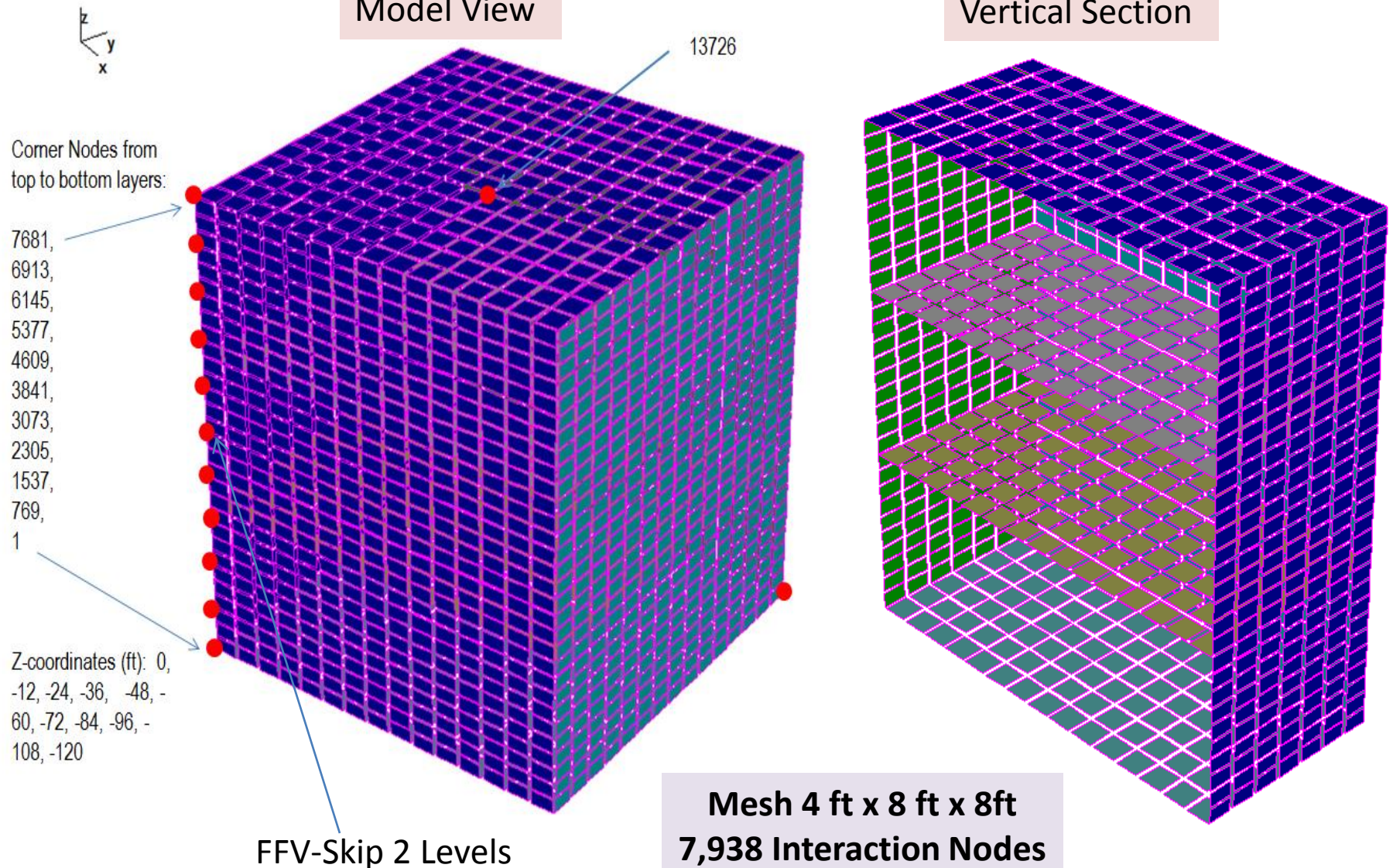


# SMR Massless Foundation (Fully Embedded) Model

Volume Size: 120 ft x 80 ft x 80 ft

Model View

Vertical Section

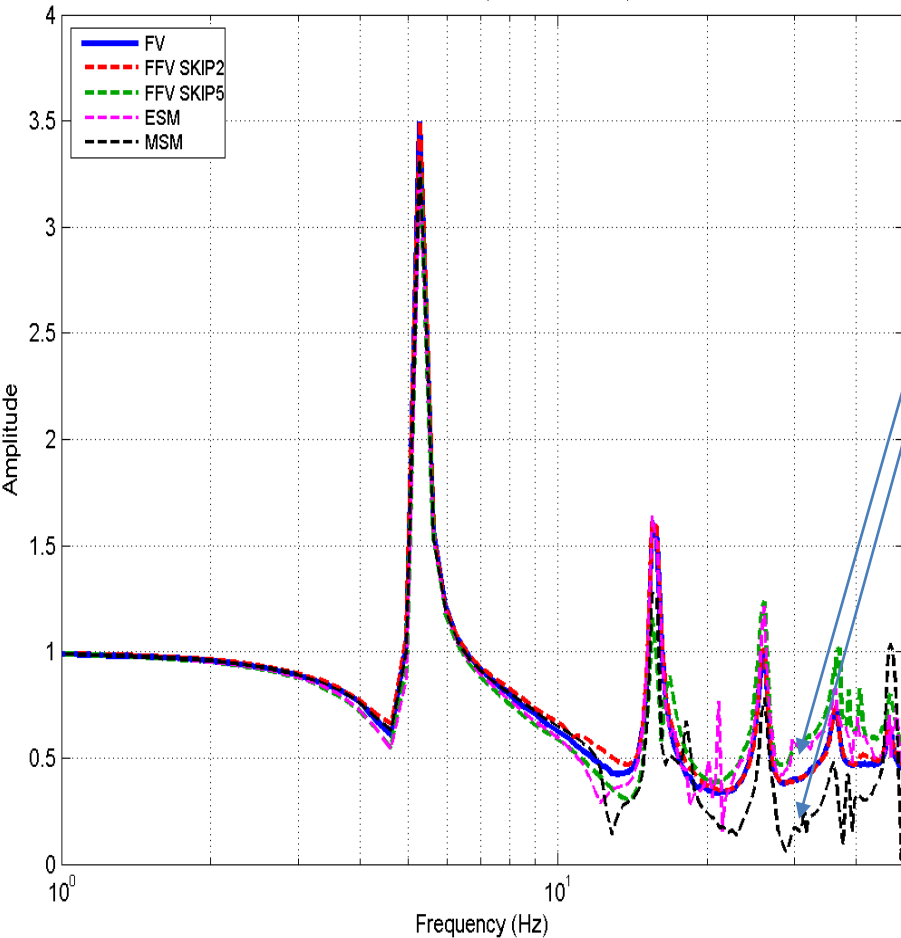




# Comparative ATF at -120 ft Depth (Foundation Level)

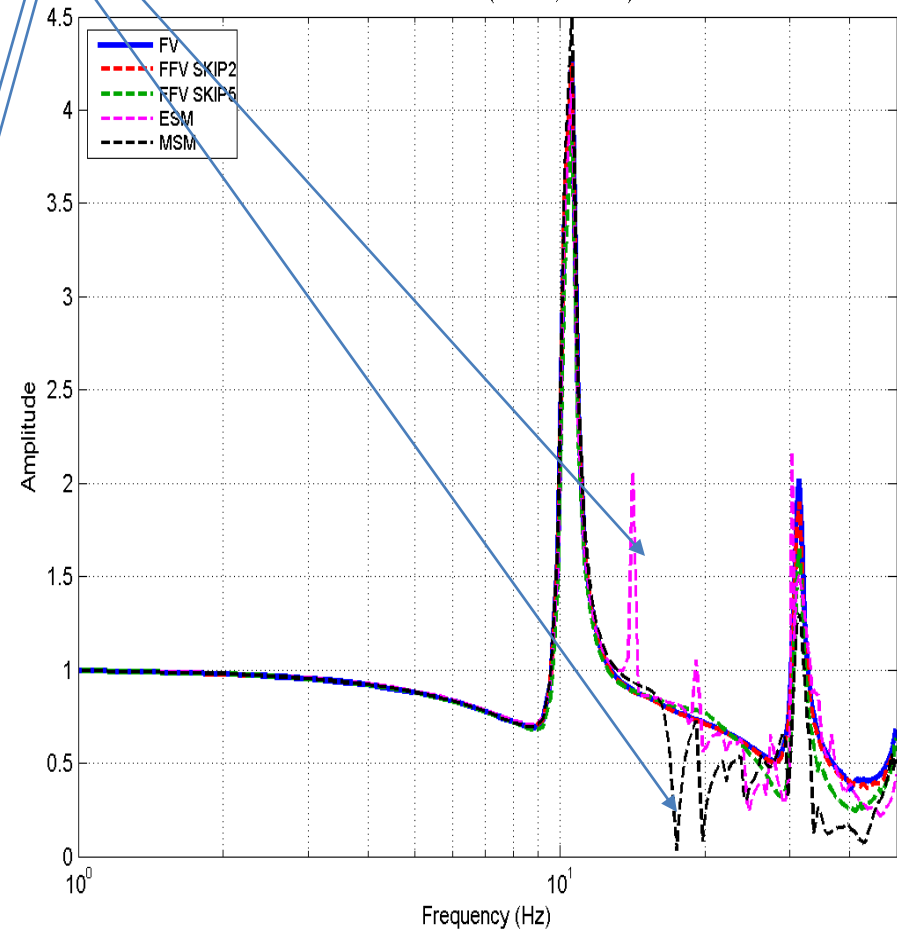
## Direction X

Excavated Volume Plus Shells Model Test - TFU  
Nonuniform Soil -- at Elevation (-120 ft., Node 1) -- Direction X



## Direction Z

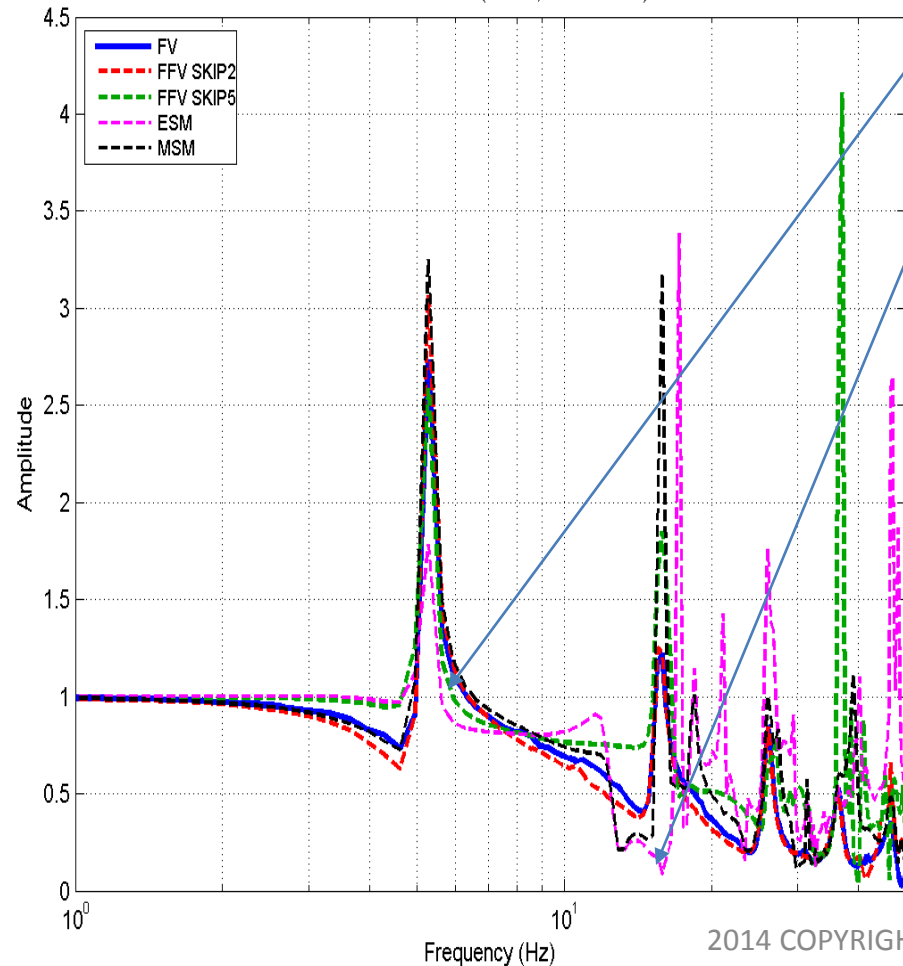
Excavated Volume Plus Shells Model Test - TFU  
Nonuniform Soil -- at Elevation (-120 ft., Node 1) -- Direction Z



# Comparative ATF at -32 ft Depth (1/4 of Embedment)

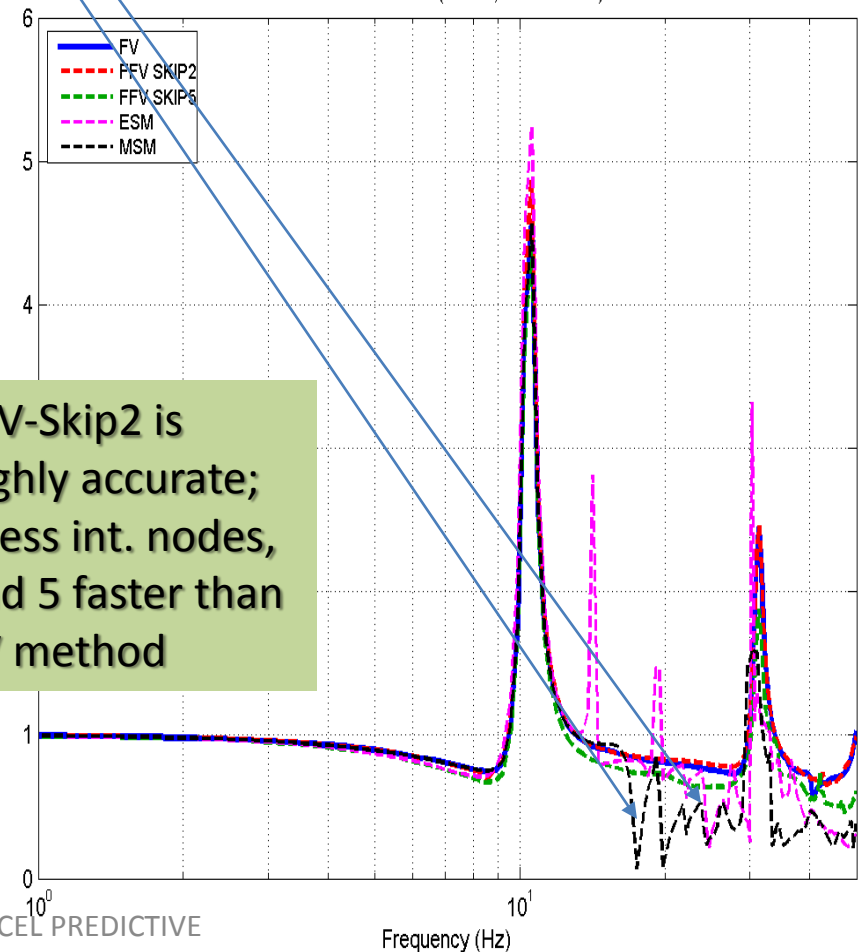
Direction X

Excavated Volume Plus Shells Model Test - TFU  
Nonuniform Soil -- at Elevation (-32 ft., Node 5633) -- Direction X



Direction Z

Excavated Volume Plus Shells Model Test - TFU  
Nonuniform Soil -- at Elevation (-32 ft., Node 5633) -- Direction Z



FFV-Skip2 is  
highly accurate;  
5 less int. nodes,  
and 5 faster than  
FV method



# SMR Massless Foundation Excavation Mesh Size Study

## Original

Volume Size: 120 ft x 80 ft x 80 ft

Corner Nodes from  
top to bottom layers:

7681,  
6913,  
6145,  
5377,  
4609,  
3841,  
3073,  
2305,  
1537,  
769,  
1

Z-coordinates (ft): 0,  
-12, -24, -36, -48, -  
60, -72, -84, -96, -  
108, -120

## Remeshed

Uniform soil  
 $V_s=1000$  fps;

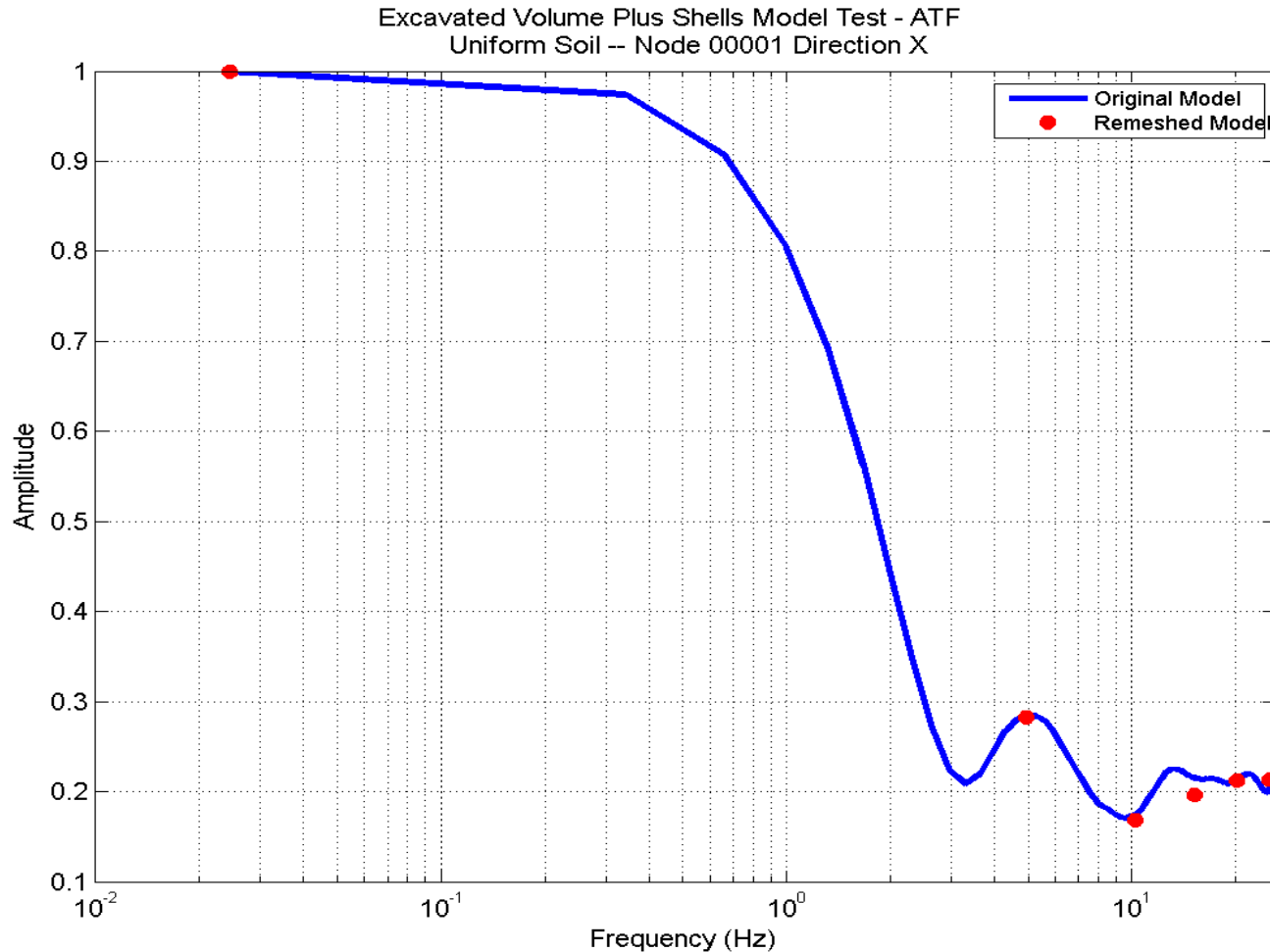
Input at Surface

Mesh 4 ft x 8ft x 8ft  
*7,938 Interaction Nodes*

Mesh 4 ft x 4ft x 4ft  
*29,971 Interaction Nodes*

# Comparative ATF at Foundation and Surface Levels

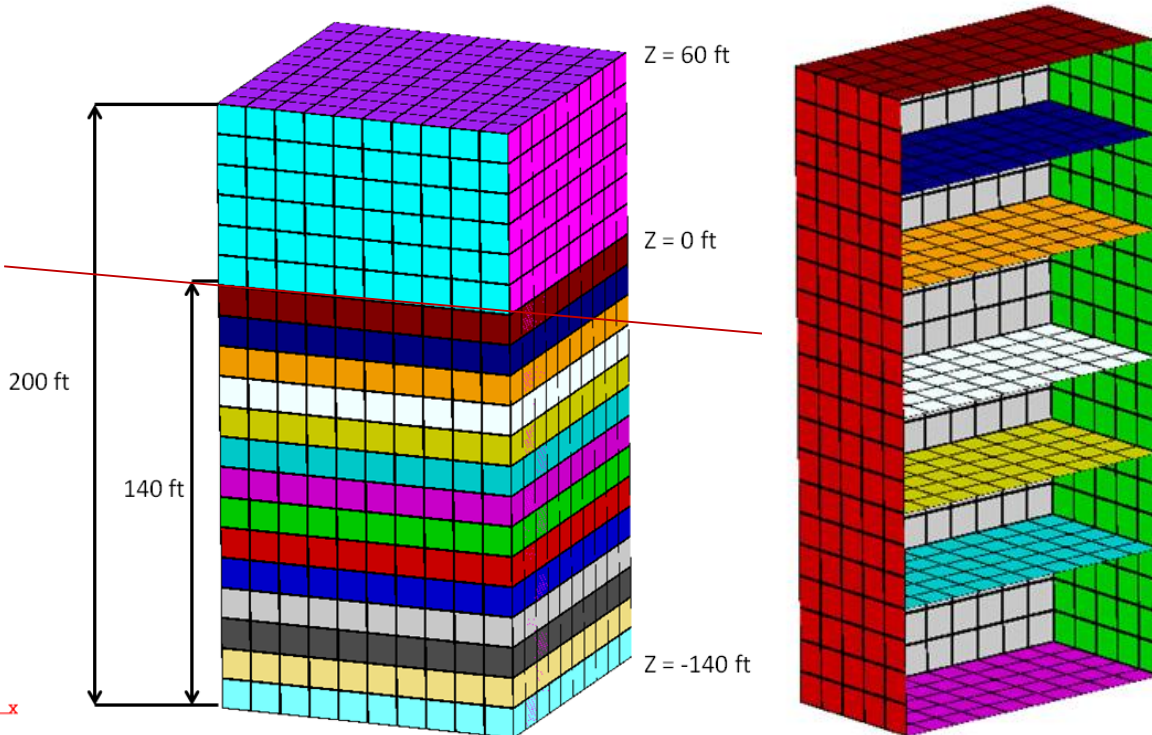
120 ft Depth



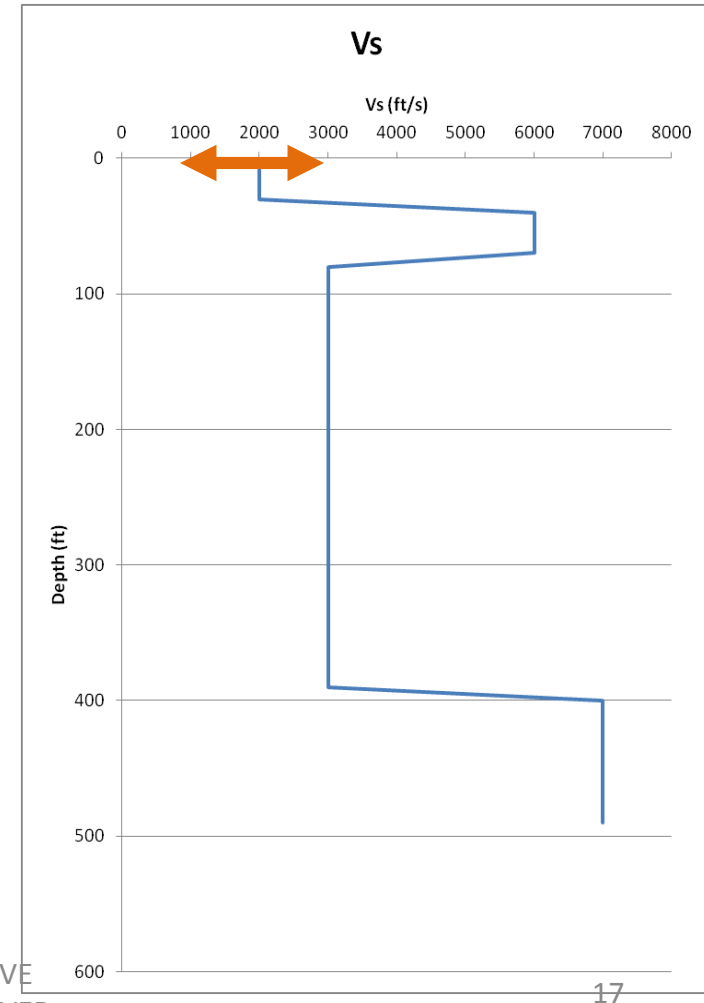
# SMR Excavation Volume Mesh Nonuniformity Study

Volume Size: 200 ft x 100 ft x 100 ft

## 140 ft Embedded SMR Model



## Vs Soil Profile (fps)



SMR size: 100 ft x 100 ft X 200 ft

Embedment: 140 ft

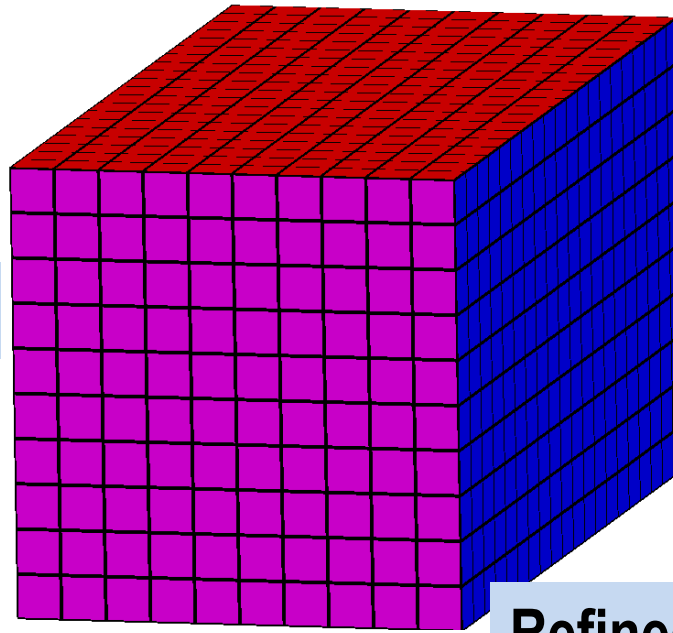
Mesh size: 10 ft X 10 ft X 10 ft

Number of Nodes: 2,580

Interaction Nodes: 1,815

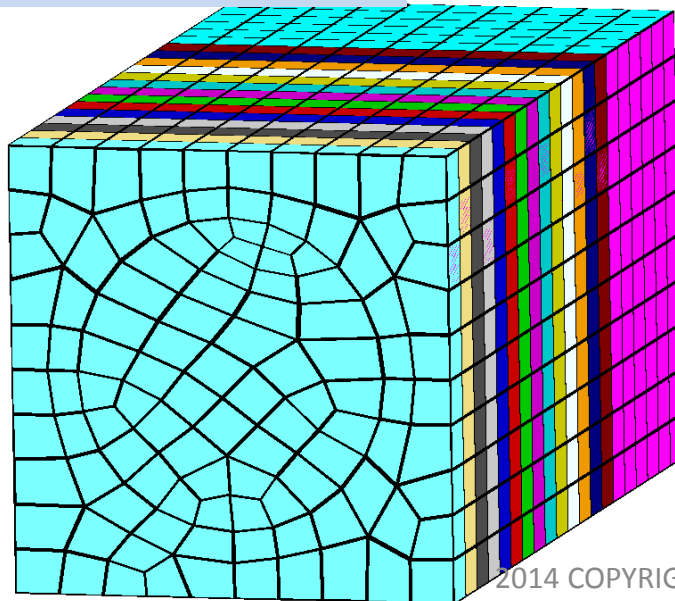
# 140 ft Embedment SMR Excavation Volume Meshes

**Uniform Model**

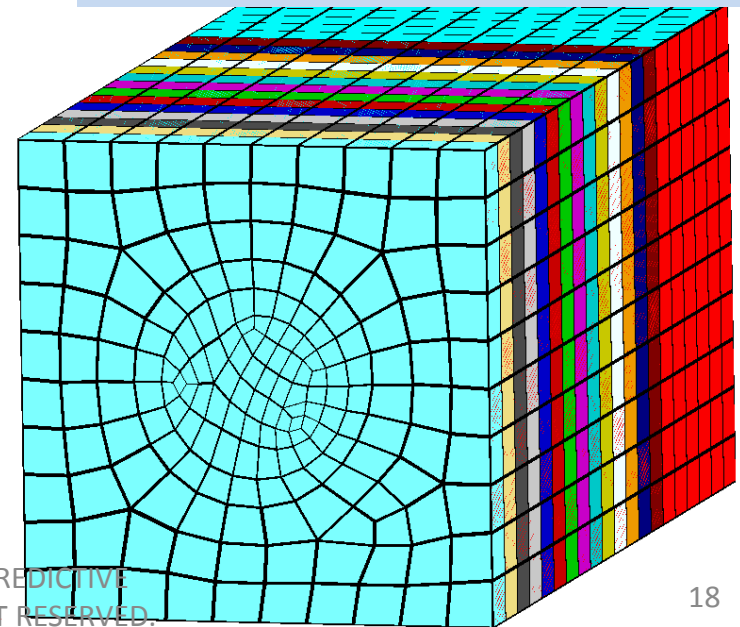


For nonuniform meshes the average radius values are used.

**Non-uniform Model**



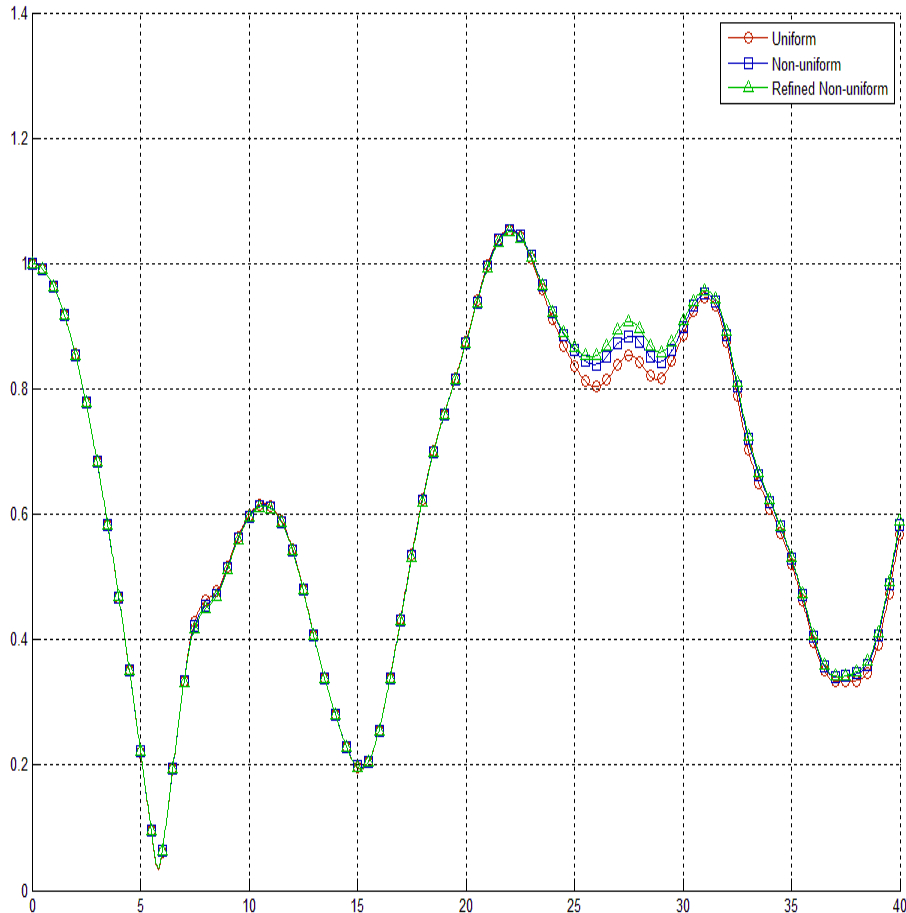
**Refined Non-uniform Model**



# Comparative ATF at -140 Depth (Foundation Level)

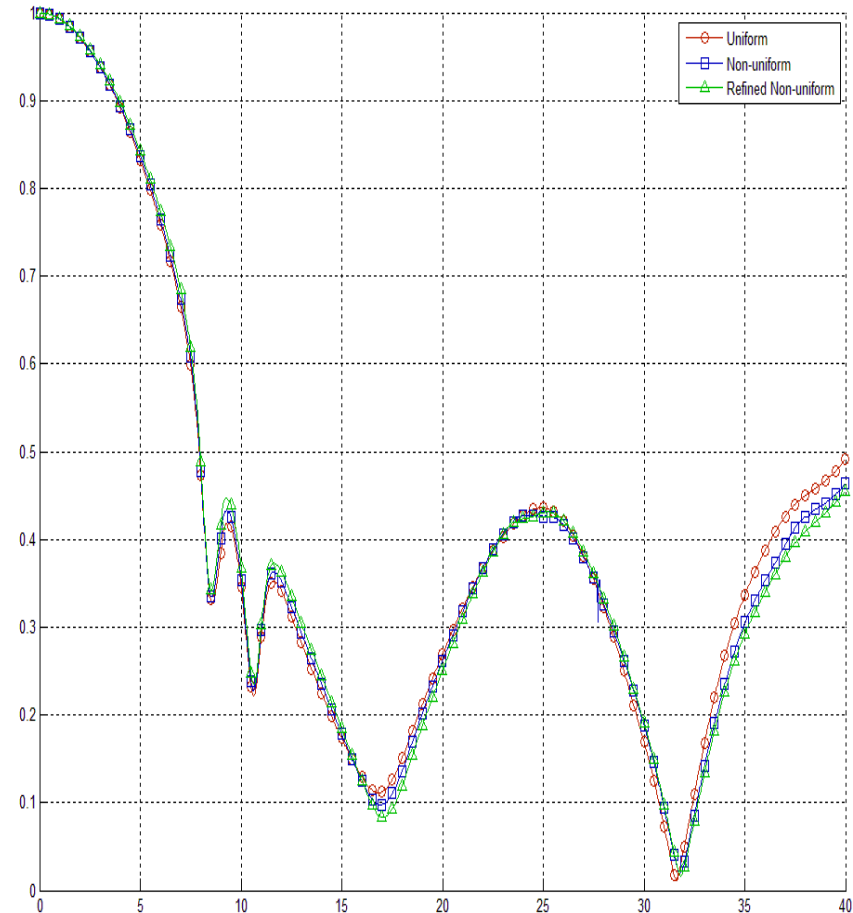
Horizontal

Acceleration Transfer Function X-Direction  
Node Location: X=0.0 Y=0.0 Z=-140.0



Vertical

Acceleration Transfer Function Z-Direction  
Node Location: X=0.0 Y=0.0 Z=-140.0





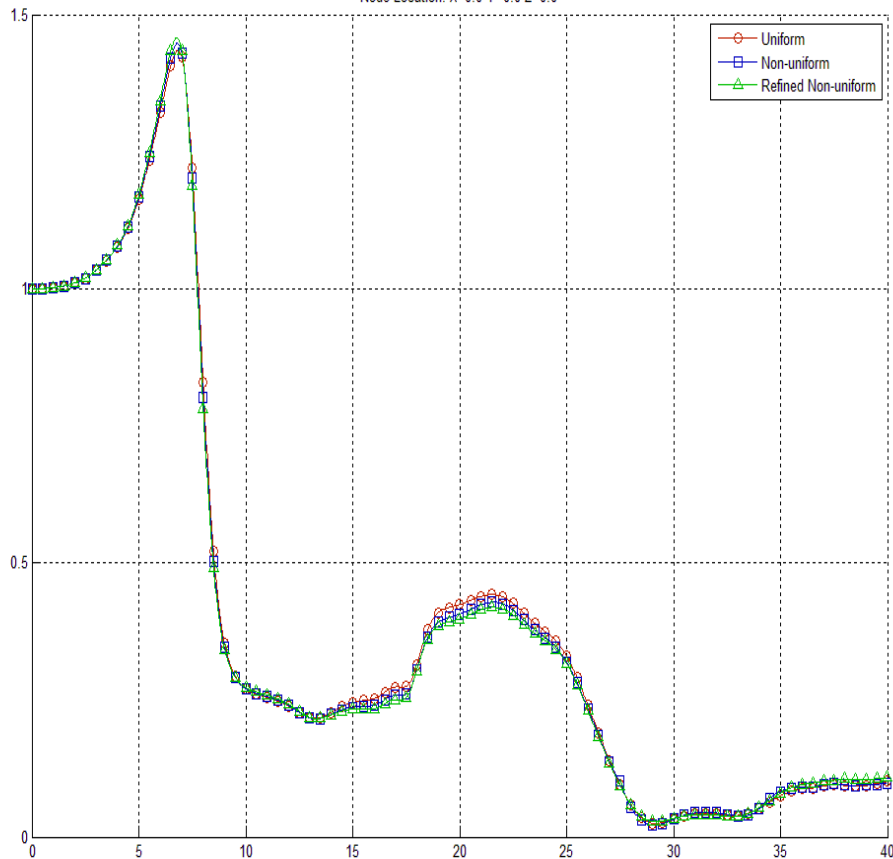
# Comparative ATF at Ground Surface Level

Horizontal

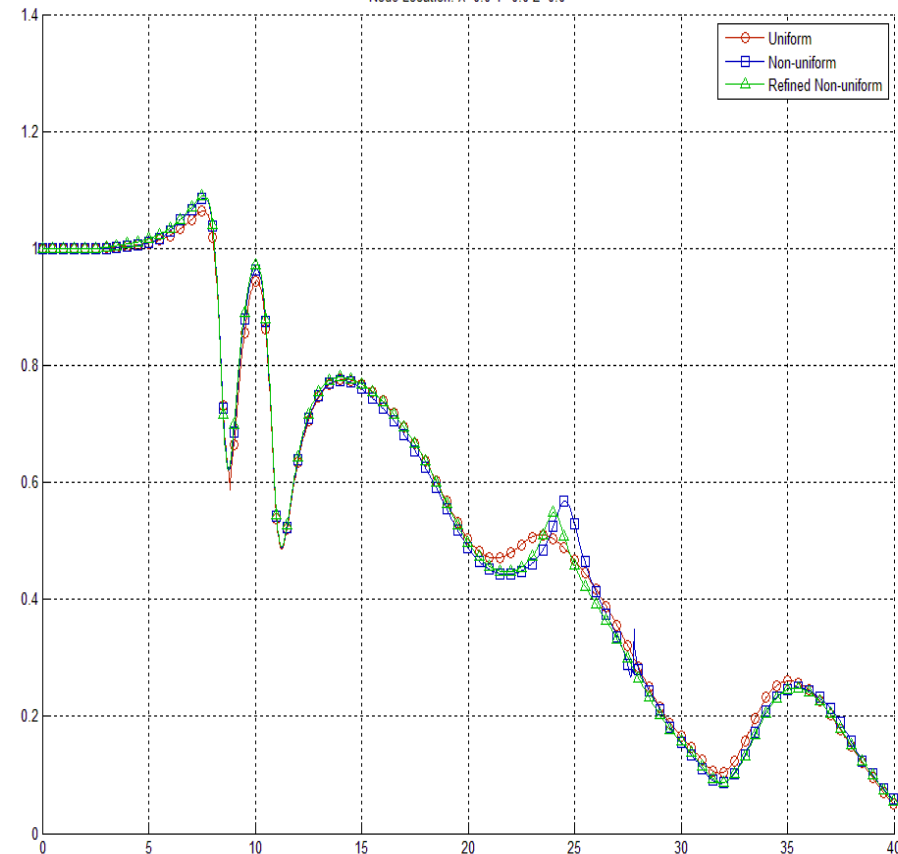
Vertical

Uniform  
Non-uniform  
Refined Non-uniform

Acceleration Transfer Function X-Direction  
Node Location: X=0.0 Y=0.0 Z=0.0



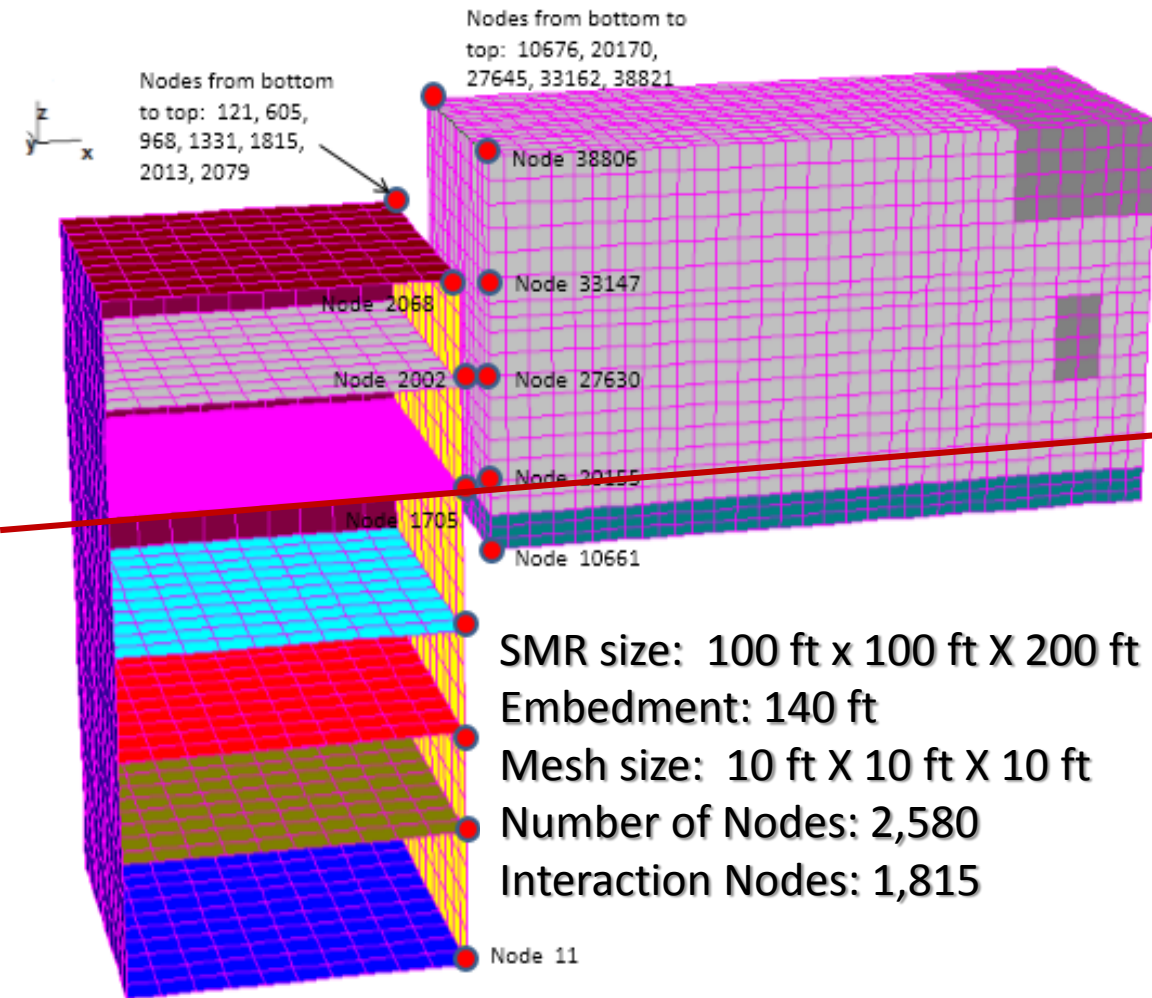
Acceleration Transfer Function Z-Direction  
Node Location: X=0.0 Y=0.0 Z=0.0



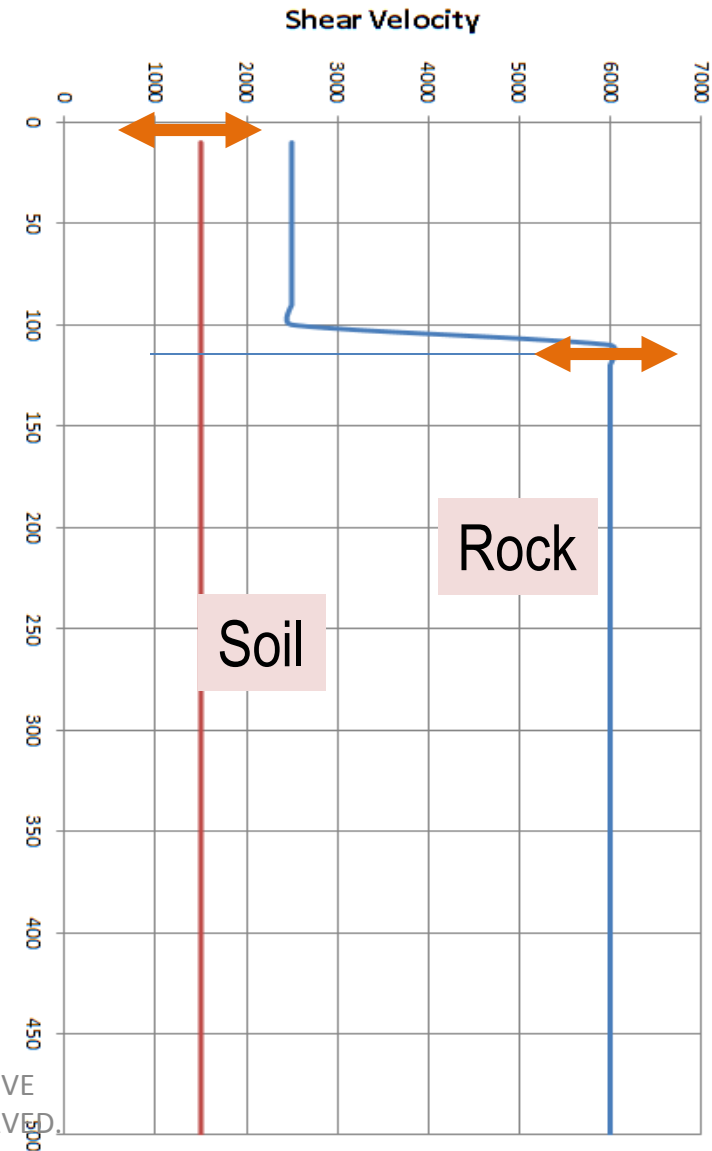


# SMR-AB Seismic SSSI Effects Study

## 140 ft Embedded SMR-AB SSSI Model



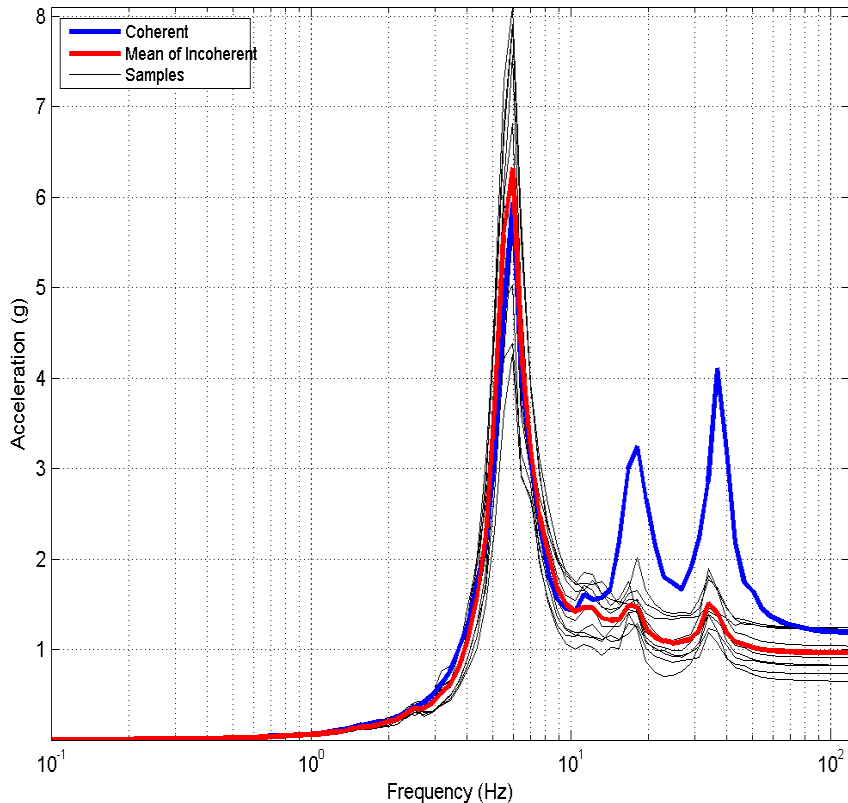
## Vs Soil Profile (fps)



# Seismic SSSI Effects on ISRS at Computed at Surface Level for Rock Site

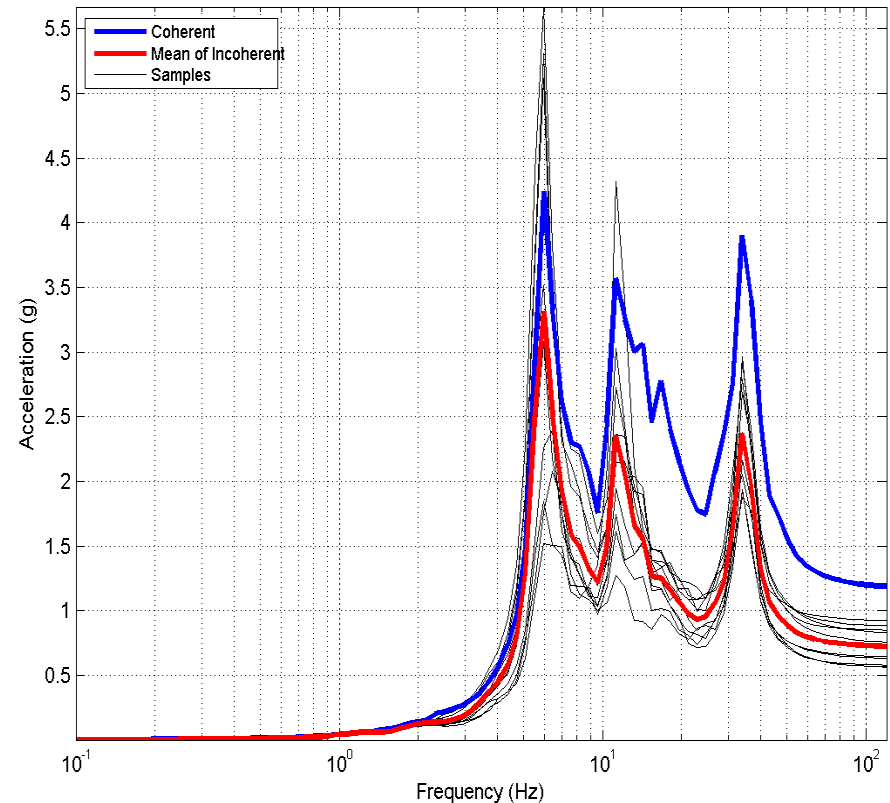
## Horizontal

SMR-AB Combined Model (Rock Site) - SRSS (Node 1815)  
SMR Corner at Coordinates(100, 100, 0) -- Direction X



## Vertical

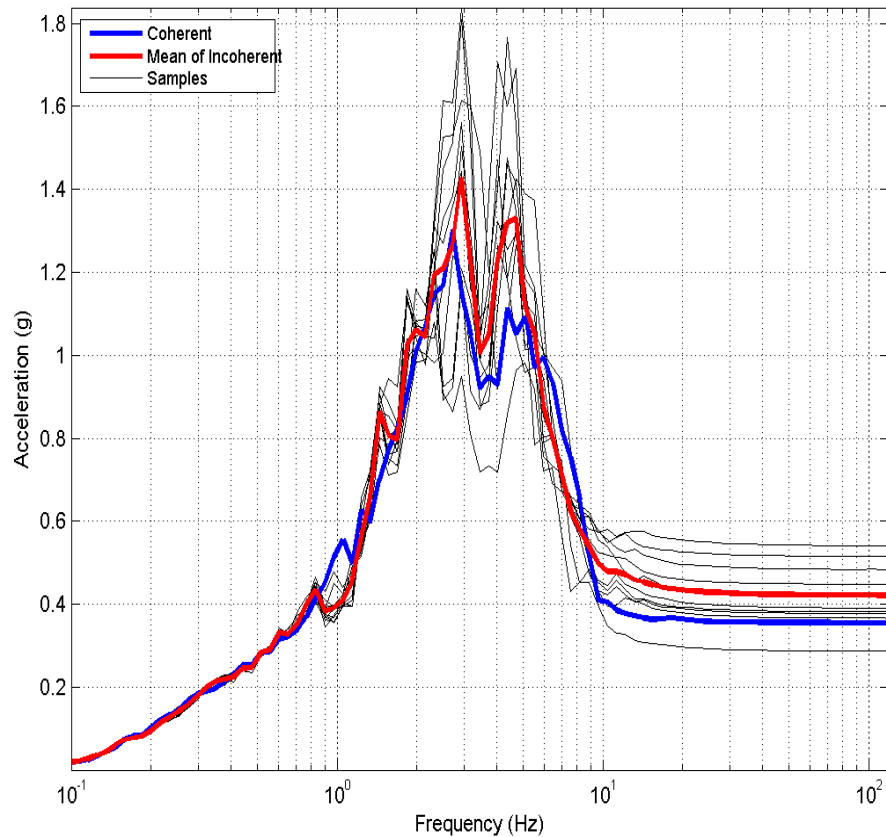
SMR-AB Combined Model (Rock Site) - SRSS (Node 1815)  
SMR Corner at Coordinates(100, 100, 0) -- Direction Z



# Seismic SSSI Effects on ISRS at Computed at 30 ft Above Surface for Soil Site

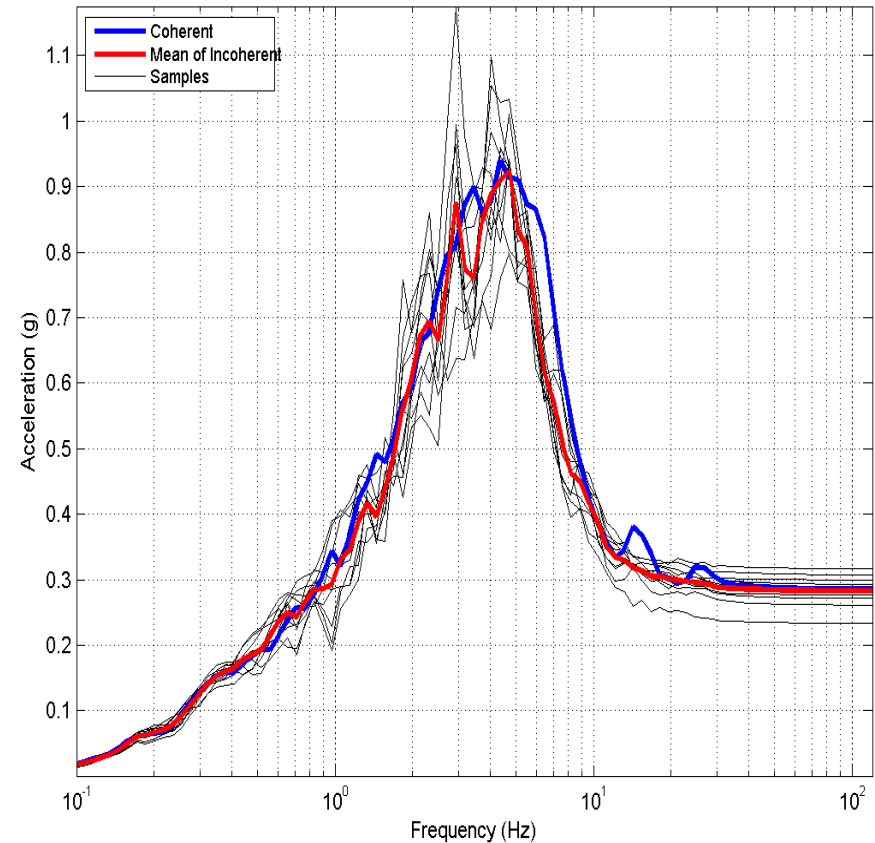
## Horizontal

SMR-AB Combined Model (Soil Site) - SRSS (Node 2013)  
SMR Corner at Coordinates(100, 100, 30)) -- Direction Y



## Vertical

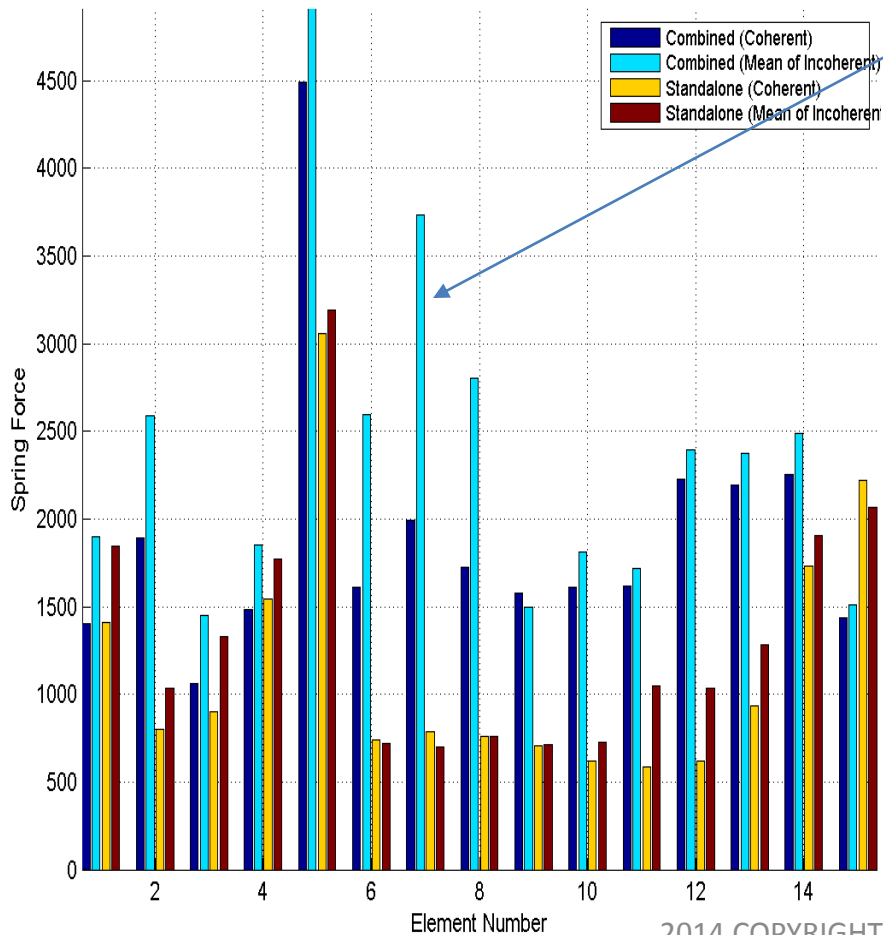
SMR-AB Combined Model (Soil Site) - SRSS (Node 2013)  
SMR Corner at Coordinates(100, 100, 30)) -- Direction Z



# SSSI Effects on Seismic Soil Pressure (Spring Forces) Along the SMR Vertical Corner Edge Near AB (140 ft)

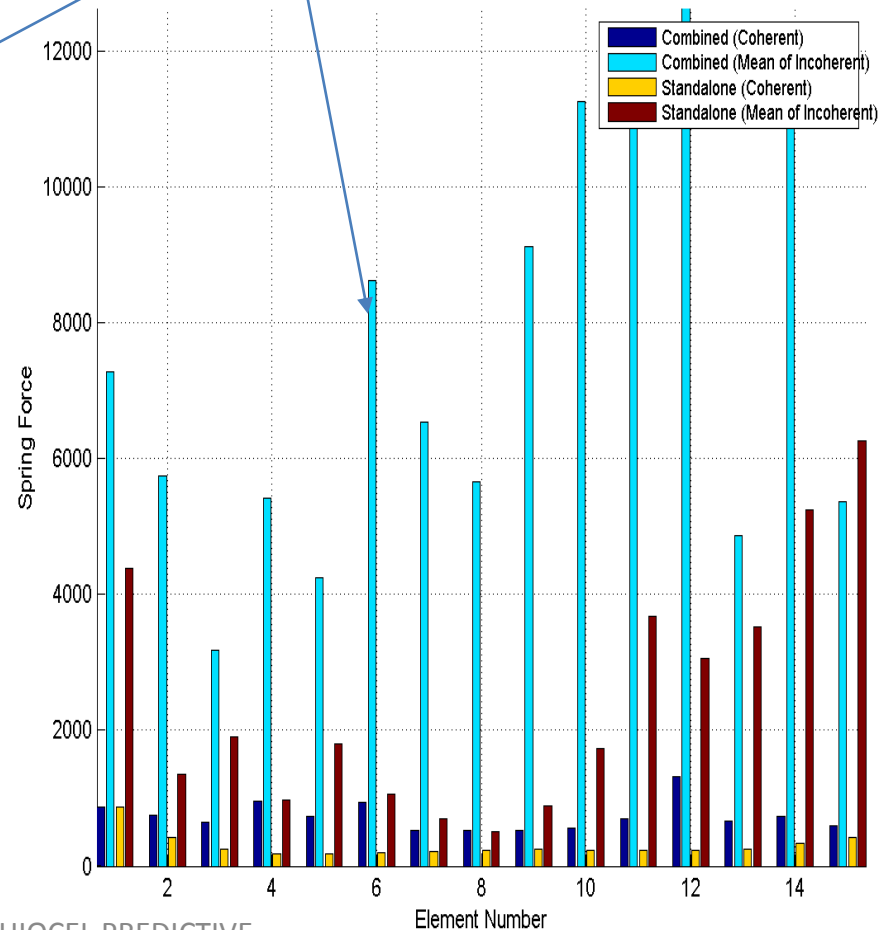
## Rock Site

Forces for Springs (SMR, Rock Site)  
Elements 11 (Corner) – PX

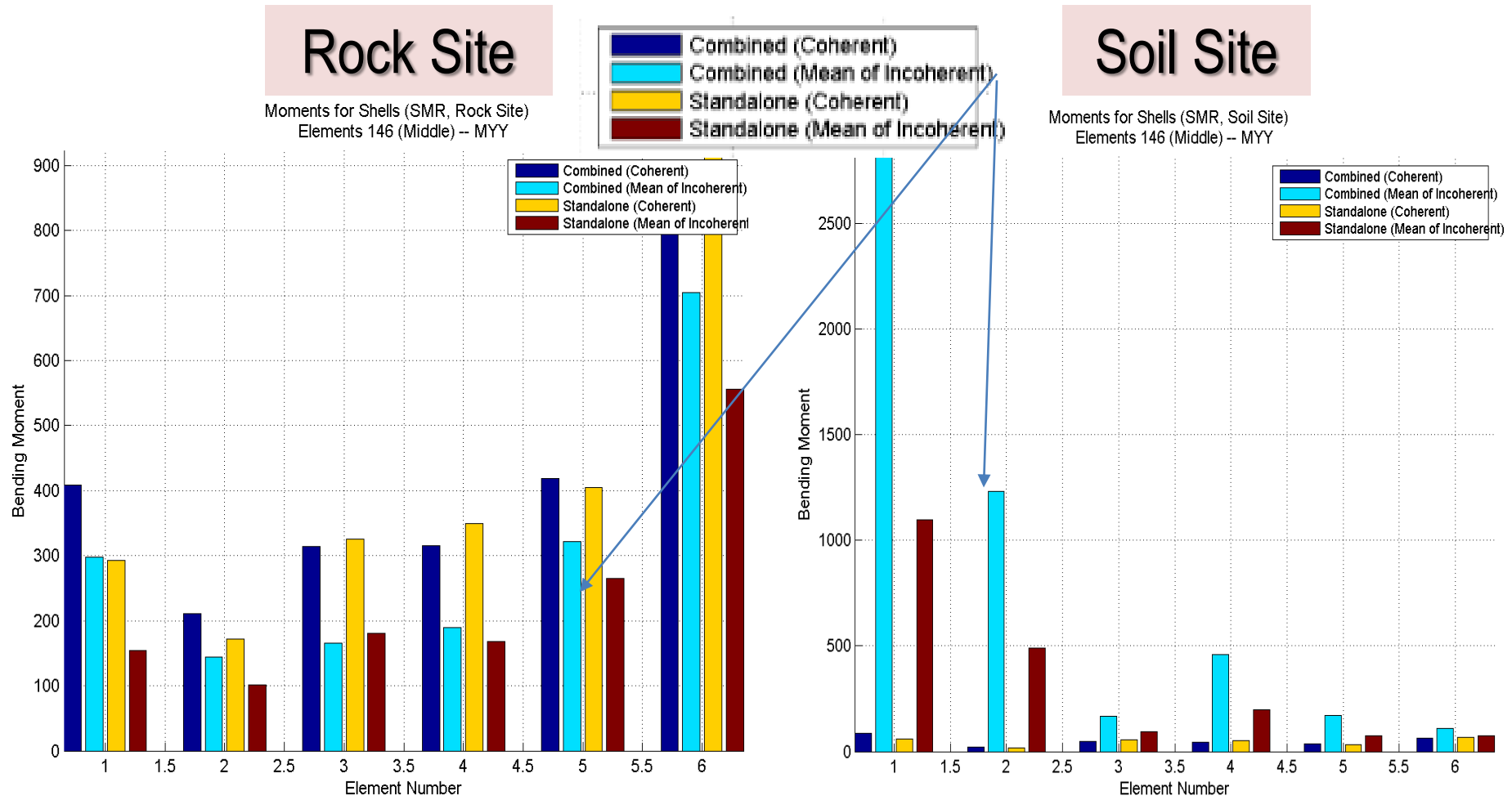


## Soil Site

Forces for Springs (SMR, Soil Site)  
Elements 11 (Corner) – PX

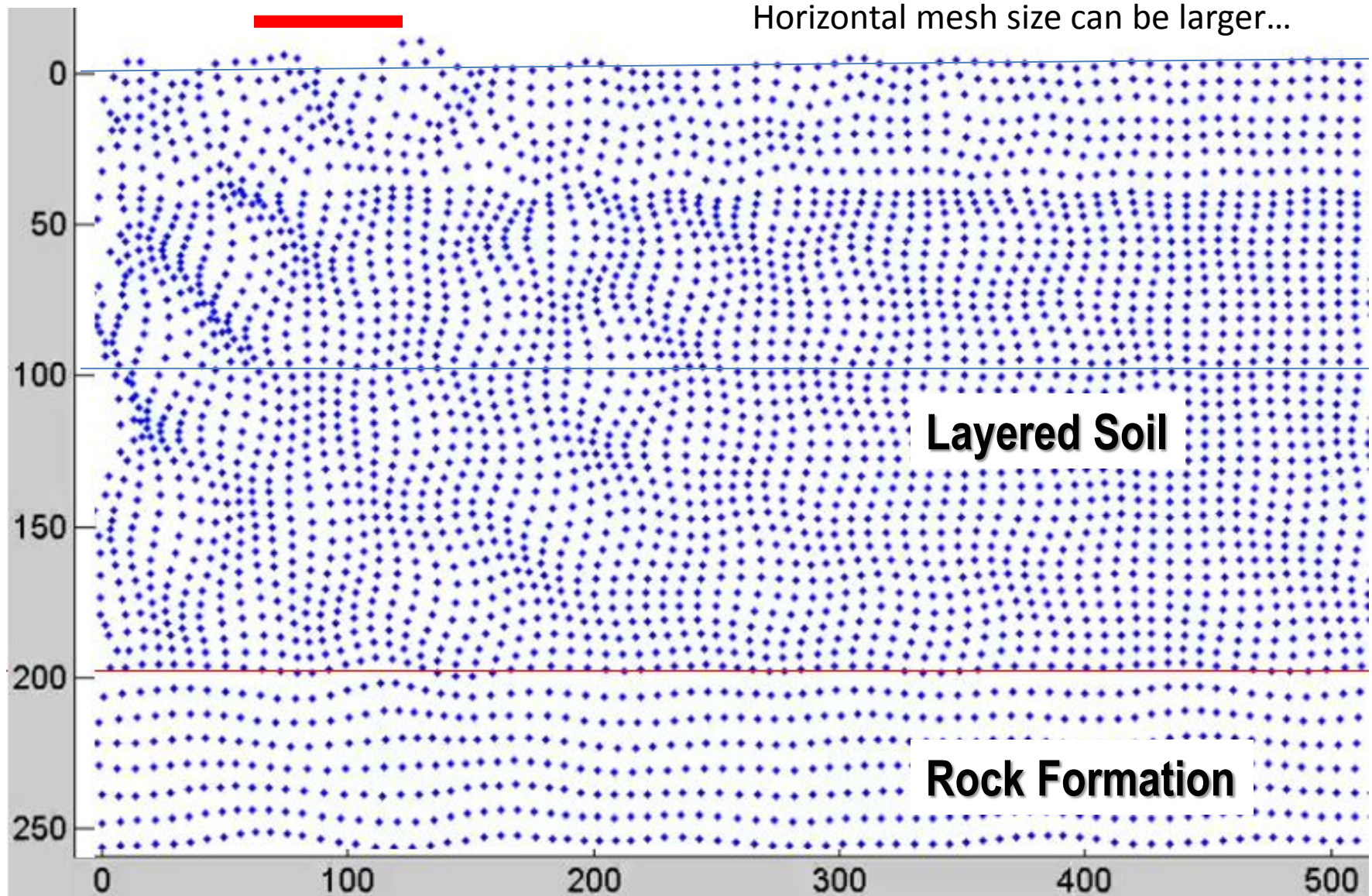


# SSSI Effects on Wall O-P Bending Moments Along SMR Shell Element Vertical Line Near AB (60 ft)





# HO Rayleigh Wave Modes Manifest at High Frequencies in Nonuniform Layered Soils (shown at 30 Hz)

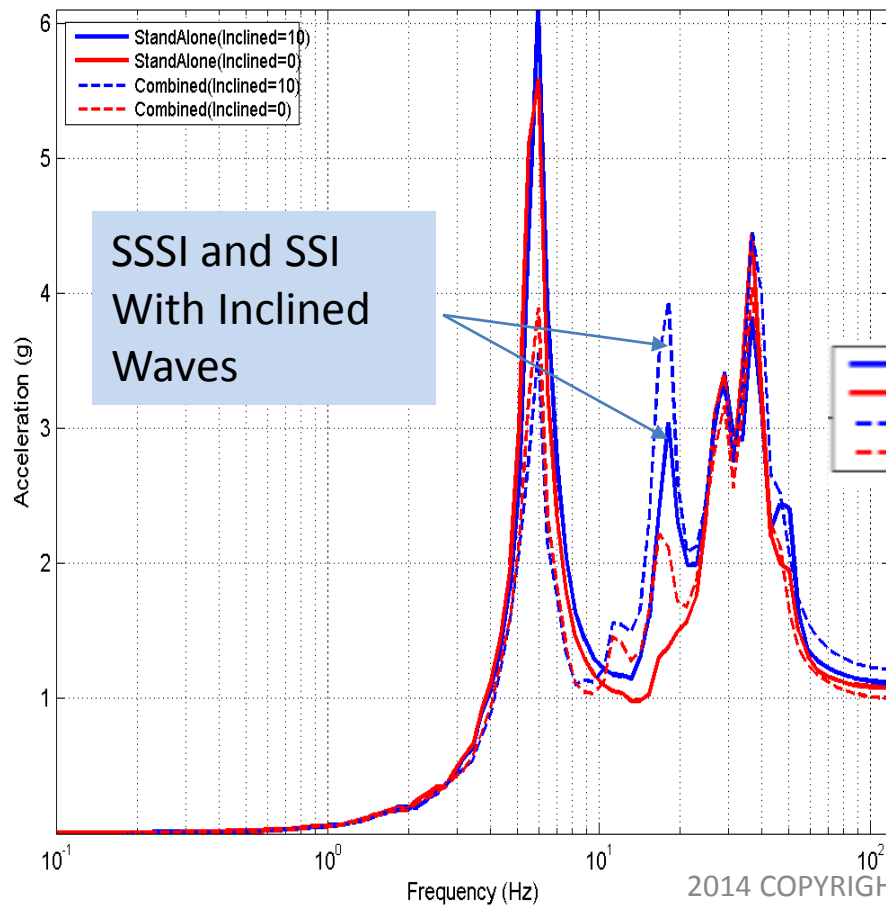




# SSI and SSSI HO Rayleigh Wave Mode Effects on SMR ATF for Nonuniform Soil Layering – in X-Dir

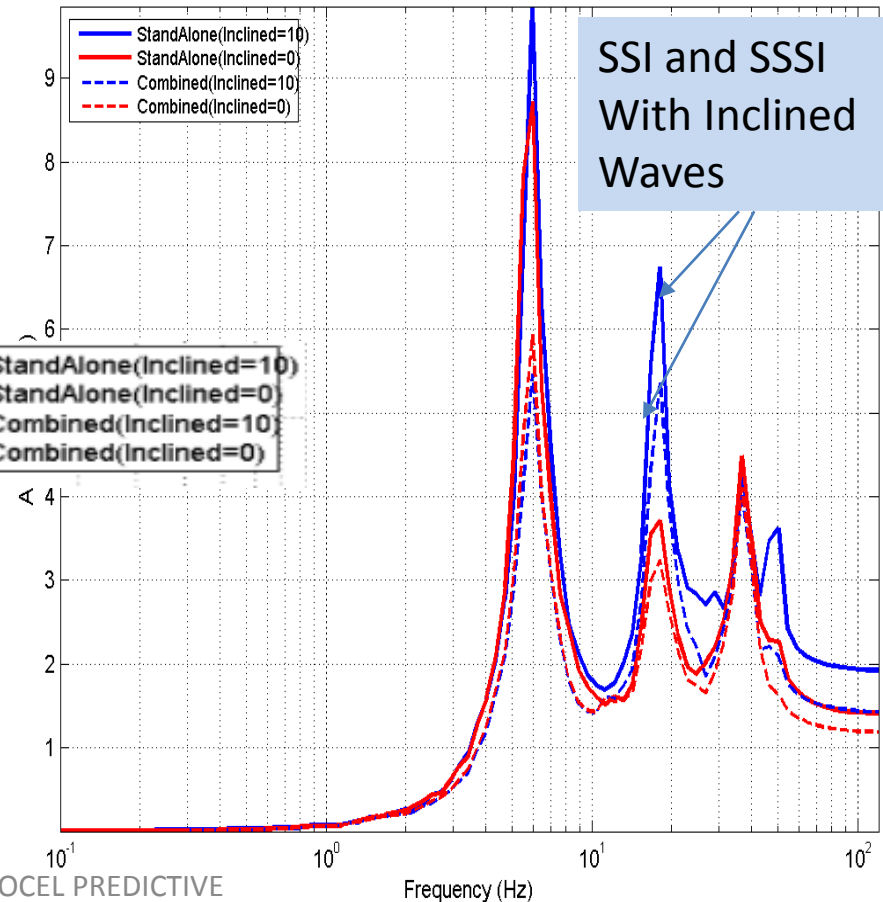
-140 ft Depth

SMR-AB Model (Coherent, Rock Site) - SRSS (Node 1331)  
SMR Corner at Coordinates(100, 100, -40) -- Direction X



Surface Level

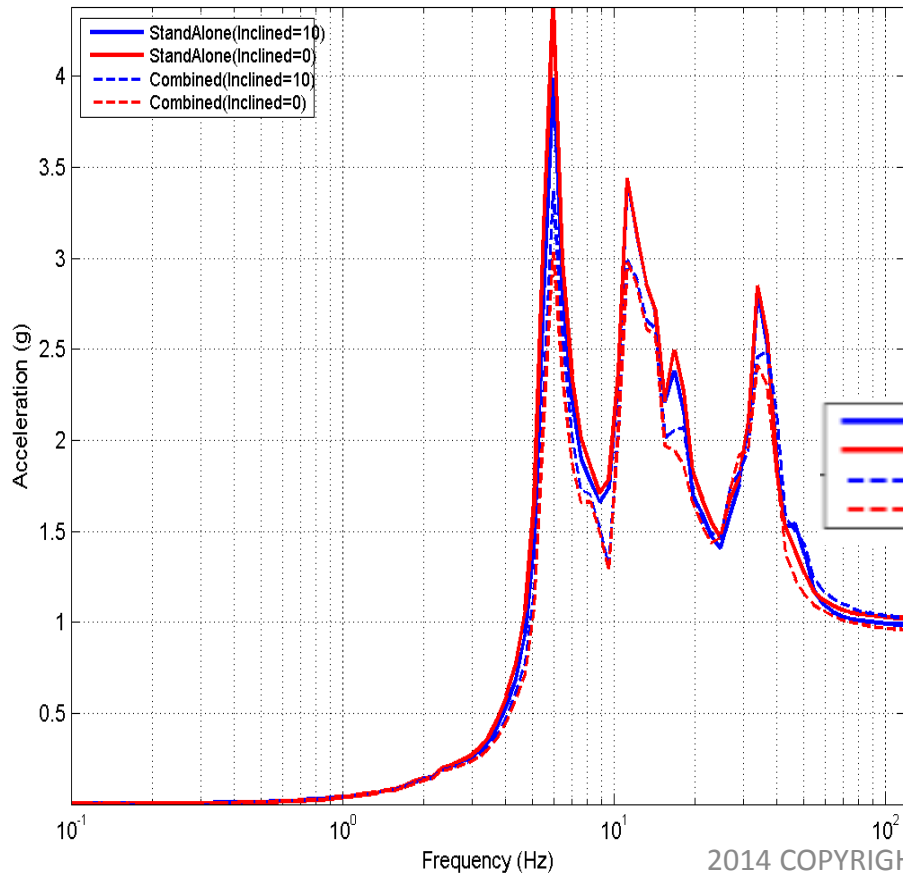
SMR-AB Model (Coherent, Rock Site) - SRSS (Node 1815)  
SMR Corner at Coordinates(100, 100, 0) -- Direction X



# SSI and SSSI HO Rayleigh Wave Mode Effects on SMR ATF for Nonuniform Soil Layering – in Z-Dir

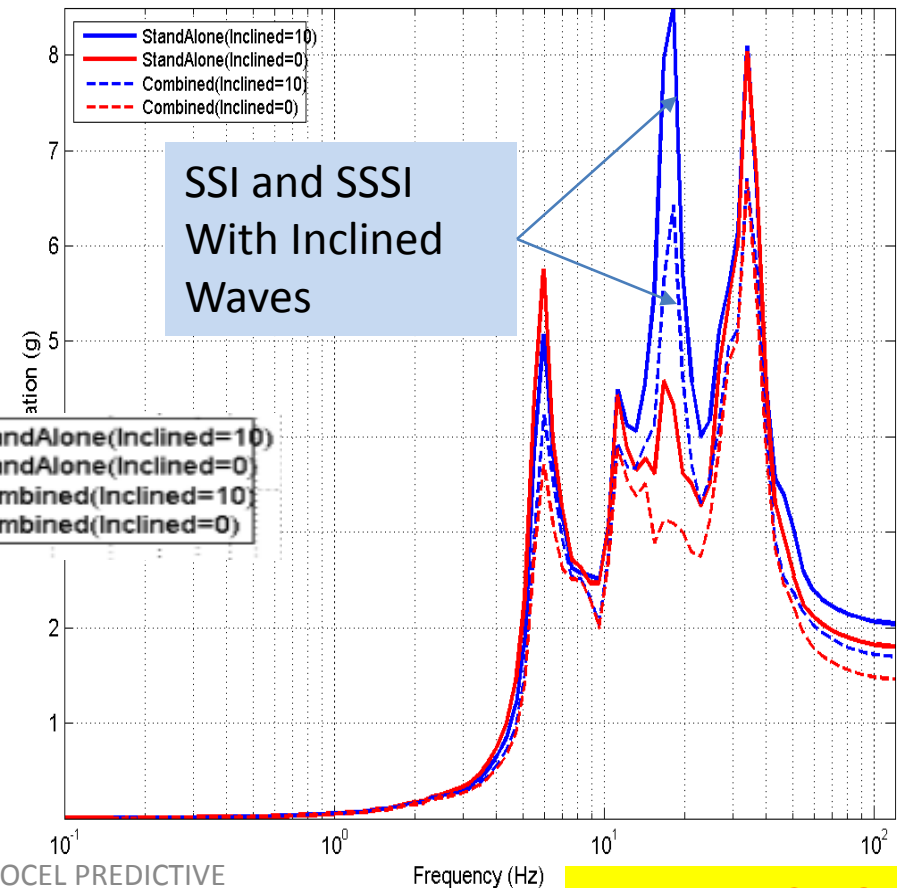
-140 ft Depth

SMR-AB Model (Coherent, Rock Site) - SRSS (Node 1331)  
SMR Corner at Coordinates(100, 100, -40) -- Direction Z



Surface Level

SMR-AB Model (Coherent, Rock Site) - SRSS (Node 2068)  
SMR Corner at Coordinates(100, 0, 60) -- Direction Z

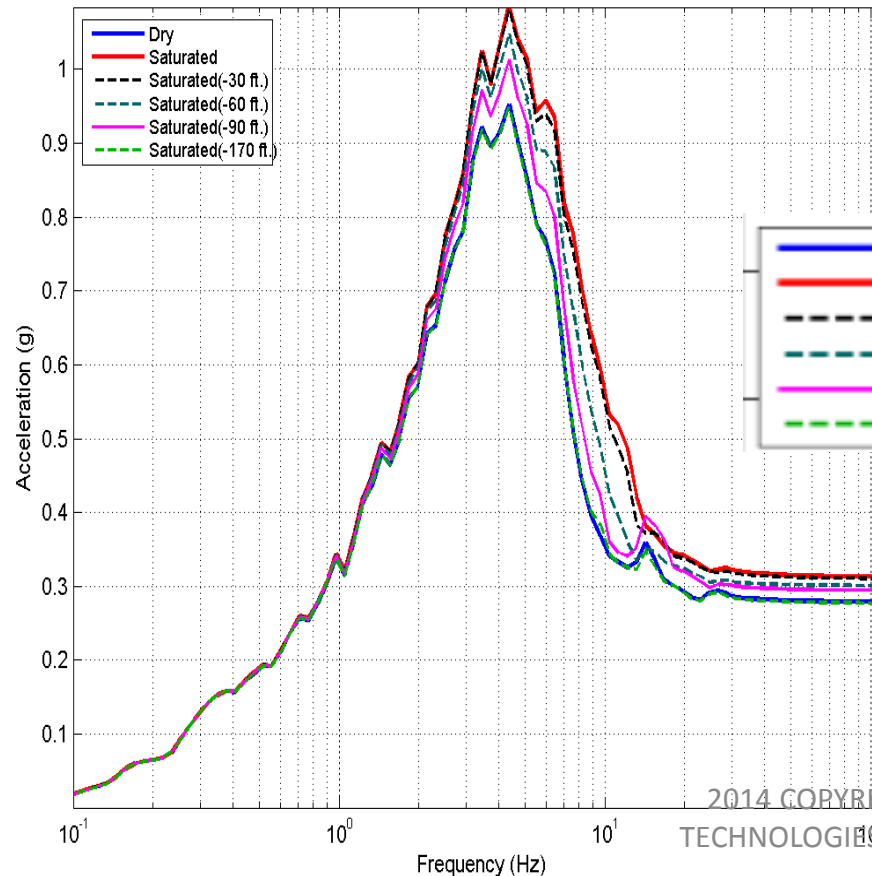


# Ground Water Level Effects on the Vertical SMR Vibration at 40 ft Depth Floor Level

## 140 ft Embedded SMR SSI Model

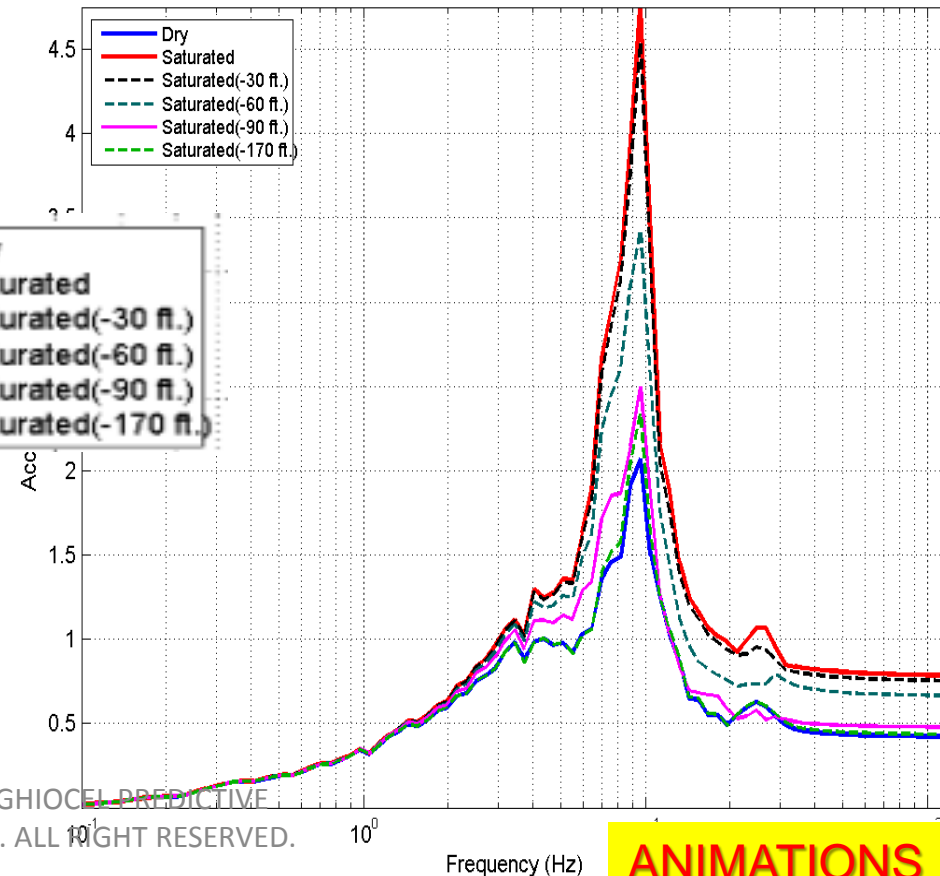
### Floor Corner

SMR Model - SRSS (Node 1221)  
Corner at Coordinates(100, 0, -40)) -- Direction Z



### Floor Center

SMR Model - SRSS (Node 2282)  
Middle at Coordinates(50, 50, -40)) -- Direction Z



# Conclusions for Investigated Case Studies

- Deterministic ISRS could be significantly higher Probabilistic ISRS. However it appears that the 84% NEP provided too low estimates, due to the smoothing effect produced by statistical averaging on the sharp ISRS peaks - frequency shifts due to soil stiffness variations are important.
- The use of the SASSI MSM and ESM with only one or two interaction node layers that are internal to the excavation volume provide crude results when compared with the FV method for the investigated SMR cases.
- Excavation horizontal mesh sensitivity studies indicate a good solution robustness for variations in the mesh size and its nonuniformity. This contradicts some published results. We will continue our investigations...
- The SMR-AB SSSI effects are important for deep soft soil deposit case, especially on the seismic soil pressures and embedded walls o-p bending
- The effects of HO Rayleigh wave modes in high-frequency appears to be significant for nonuniform layered soil deposits. We will continue...
- Ground water level can affect largely the SMR floor vertical vibration. This is not fully recognized by all.